Review Article

Anterior cervical plating

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Although anterior cervical instrumentation was initially used in cervical trauma, because of obvious benefits, indications for its use have been expanded over time to degenerative cases as well as tumor and infection of the cervical spine. Along with a threefold increase in incidence of cervical fusion surgery, implant designs have evolved over the last three decades. Observation of graft subsidence and phenomenon of stress shielding led to the development of the new generation dynamic anterior cervical plating systems. Anterior cervical plating does not conclusively improve clinical outcome of the patients, but certainly enhances the efficacy of autograft and allograft fusion and lessens the rate of pseudoarthrosis and kyphosis after multilevel discectomy and fusions. A review of biomechanics, surgical technique, indications, complications and results of various anterior cervical plating systems is presented here to enable clinicians to select the appropriate construct design.

Key Words: Anterior cervical plating, spinal instrumentation, biomechanics

Historical perspective

Early spinal arthrodeses were performed as stand-alone structural grafts and required prolonged bedrest or cast immobilization. Early reports of cervical arthrodesis were published by Cloward,[1] Smith and Robertson[2] and others, and involved noninstrumented cervical spine arthrodesis with a high nonunion rate. Bohler in 1967 first reported what was likely the first use of anterior cervical plate and screw fixation in a patient with cervical spinal trauma.[3] Although anterior cervical instrumentation was initially used in cervical trauma, because of obvious benefits of this instrumentation, indications for its use have been expanded over time to degenerative cases, including patients with multilevel decompressions, those with allograft use, and those who smoke, as well as cases of tumor and infection. Hence, there has been a progressive increase in the number of surgeries with anterior cervical arthrodesis and plating. Abraham and Herkowitz[4] noted a three fold increase in the incidence of cervical fusion surgery between 1985 (38,000 cases) and 1996 (1,10,000 cases) in the USA based on the Health Care Finance Research Society. Along with increased use of plating systems, implant designs evolved over the last three decades. Orozco and Honet[5] described the use of a one-third tubular plate in 1970s and subsequently designed custom ‘H’ and ‘HH’ plates that were adopted by the Arbeitsgemeinschaft fur Osteosynthesefragen (AO) for use throughout Europe in anterior cervical spine surgery. Caspar[6] developed a ‘trapezoidal’ plate in 1980 for use in cervical spine and he described later the use of this plate for multiple indications including trauma, tumors, revision surgery etc. Early devices required penetration of posterior cortex of vertebral body (bicortical purchase) and the fear of dural penetration and subsequent neurologic catastrophe delayed acceptance of the use of these devices worldwide, particularly in the United States. The most popular, second generation systems (e.g., CSLP from Synthes, Orion from Sofamor-Danek, Codman plate) featured screws fixed to the implant and permitted screw convergence on placement. The latest, third generation systems are the dynamic semi-constrained plates to prevent stress shielding and to allow subsidence, described below.

Biomechanics of anterior cervical spine plating

A basic understanding of the complex biomechanics of ventral cervical plating is necessary to help selecting the right construct. The forces acting on the plate (and the subaxial cervical spine) are highly variable and dependent on a number of factors including, length and design of the construct, position of the spine (flexion vs extension), and plate and screw design. Constrained plates: The most basic anterior cervical plates.
Subsidence or settling refers to loss of height at the bone grafting site and is referred to as secondary dynamism by plate fracture. Benzel et al. reported that 70% of the load should be transmitted through the bone graft for optimal bone healing, enhancing stresses and loads to such a degree that stress reduction osteoporosis, or nonunion, may result. However, compressive forces in the case of an interbody fusion with an accompanying stress osteoporosis implant are not allowed to be transmitted to the graft-vertebral body fusion surfaces, resulting in nonunion or pseudoarthrosis (Figures 1 and 2). It is well known that in some situations, failure of an implant (for example by a fracture) permitted the bone grafts to ‘see’ bone-healing forces, thus enhancing fusion (Figure 3). If the plate had not failed in these cases, pseudoarthroses may have developed. This concept is referred to as secondary dynamism by plate fracture. Benzel et al. focused attention on the above phenomena of stress shielding and secondary dynamism and used this knowledge to develop the first axially dynamic cervical implant.

Dynamic or semiconstrained implants are nonfixed moment arm cantilevers and, in general, function by two mechanisms: screw toggling or permission of axial subsidence. Screw toggling is created by the interface of a rounded screw head with a cup on the plate (Figure 4). Once the screw is placed, the rounded head/cup configuration allows the screw to rotate in the sagittal plane with respect to the plate as subsidence occurs. Although this mechanism allows subsidence the fixed head position of the screws may lead to rotation of the vertebral body as it settles or, more likely, screw cut out (Figure 5). Another form of dynamism is related to the allowance of axial settling.
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Figure 3: An example of secondary dynamism-plate failure by fracture, allowing the bone graft to see bone healing enhancing compressive forces, thus allowing fusion to occur (With permission from AANS – Biomechanics of Spine Stabilization. Benzel EC, 2001)

Figure 4: Implants that limit but allow some toggling (Codman plate), provide an intermediate alternative between the fixed and non-fixed moment arm cantilevers. This is facilitated by a round-bottom screw head that fits into a cup on the plate (A and B). A cam permits ‘limited toggling’ (C).

allowed to slide along the long axis of the plate for a limited distance. This configuration may allow subsidence while minimizing the risk of screw cutout.

Implant failure

Implants fail at points of maximal stress. This point may be at the screw-bone interface, within the screw itself, at the screw-plate interface, or within the plate. Failure at the screw – bone interface occurs by either screw back-out or screw cut-out. In early anterior cervical constructs screw back-out was combated by obtaining bicortical purchase when placing the screws. More recently, a variety of screw-plate locking mechanisms have minimized the chance of this mode of failure and obviated the need to obtain bicortical purchase. Screw cut out is a more common form of failure at the screw-plate interface. For tapered screws the point of maximal stress is in mid-shaft.

Construct failure occurs where the magnitude of the applied bending moment is maximal and a relative weak point coincide (point of maximal stress). This may occur in any part of the construct (plate, screws, screw-plate interface, and screw-bone interface). The specific part of the construct that fails is related to the direction and magnitude of the forces applied to the implant (either intentionally or unintentionally). Knowledge of the forces applied to the implant at the time of application as well as with position change can help the surgeon to minimize the risk of these potential complications with rational construct design and implant selection.

Indications for anterior cervical fixation

There are four general indications for spinal stabilization as outlined by White and Panjabi – to restore clinical stability...
to a spine in which the structural integrity has been compromised, (2) to maintain alignment after correction of a deformity, (3) to prevent progression of a deformity, and (4) to alleviate pain. Spinal instrumentation with a bone fusion can be applied in all of these scenarios and in cervical spine surgery, the indications include traumatic or acquired cervical spine instability, cervical vertebrectomies, metastatic lesions of vertebral bodies, cervical fractures, multilevel cervical discectomies, single level cervical discectomies for infection. Rarely, instrumentation may replace bone fusion as the principal means of cervical stabilization. The main benefit of spinal instrumentation is provision of immediate postoperative stability to the surgical zone before the development of osseous fusion.

**Surgical technique of ventral cervical plating**

**Planning:** Several factors, such as, the indication for surgery (traumatic vs degenerative), quality of bone (osteoporosis, osteomyelitis), levels of decompression and levels of fusion, choice of graft (autograft vs allograft, bone graft vs cage), aberrant anatomy, if any on the images, etc. should be reviewed thoroughly and the type of construct to be used should be selected prior to entering the operating room.

**Exposure:** It need not be overemphasized that adequate exposure is the key to avoid complications of ventral cervical fixation. Generally, access is obtained using a standard transverse skin incision, but an incision paralleling the sternocleidomastoid may be preferred when four or more cervical discs are to be exposed. Access to the vertebral body above and below the graft site must be attained to gain access for purchase of the plating system. Exposure of the disc spaces above and below the vertebral body, upon which the fixator is to be attached, is often helpful but not mandatory to avoid placing the screws into the disc space. Instead, intraoperative fluoroscopy can be used to avoid the above risk.

**Bone grafting:** Poor grafting technique may lead to increased mechanical stress on the plating system and may cause plate or screw failures. The key principles for successful bone grafting include (1) establishment of good bony contact between the graft and the bone, (2) placement of the graft in a compression mode, and (3) the immobilization of the bone-graft junction. Autogenous iliac crest is the gold standard bone graft for cervical fusion, but allogenic fibular graft with or without morphogenic matrix may be used instead to avoid donor-site related complication. It is very important that all disc material is removed, and bone is removed from the endplate until punctate hemorrhage from cancellous bone is seen to achieve good incorporation of the graft. Anterior osteophytes are also trimmed for proper seating of the plate. The rostral and caudal edges of the graft site should be made parallel and careful measurement of the disc space height is performed, and the graft is trimmed to size accordingly. A graft of at least 7 mm in height and 15 mm in depth is recommended to minimize graft fracture. Care should be taken that there is a 3 mm safety margin between the graft and the spinal cord and a nerve hook is placed beneath the graft to ensure that the graft does not impinge the cord. A graft that is significantly taller than the height of interspace may lead to over-distraction of disc space and can result in constant interscapular pain and, rarely neurological deficits from neuropraxia. In traditional Smith–Robinson configuration, cortex of the graft is placed ventrally, and in the middle column of spine in reversed Smith–Robinson technique. In the later technique, graft extrusion rate is less, but rate of kyphosis increases. However, when ventral plating system is added, graft extrusion is eliminated and kyphosis lessens, and hence choice of graft technique is less important.

**Plate-screw fixation:** A variety of plating systems are available in the market for ventral cervical spine fixation, but the basic techniques are as follows: 1. Identification of the midline; 2. Removal of ventral osteophytes to allow the plate to lay in contact with the ventral spine; 3. Selection of the proper length and hole positions of the plate; 4. Measurement of screw length, graft depth, and vertebral body depth prior to placement of screws; 5. Selection of screw length to provide maximal unicortical purchase or minimal spinal canal perforation with bicortical purchase; 6. Alignment of the plate in the midline, with screw holes positioned over the vertebral bodies; 7. Placement of screws into the vertebral body, avoiding the disc space and, 8. Proper locking of the screws into the plate.

There are a few variations of screw placement depending on the plating system. The original Caspar trapezoidal plate (Aesculap, CA, USA) and Oroeco (Synthes PA, USA) are nonconstrained systems and bicortical purchase of screws is required-hence fluoroscopy and repeated palpation of the drill hole with K-wire are recommended to avoid injury to the spinal cord. One millimeter of screw thread may penetrate dorsal cortex of vertebra without damaging the cord and this is the approximate thickness of posterior longitudinal ligament. Synthes ventral cervical locking plate (CSLP) system uses screws with unicortical purchase (usually 14 mm screws) and a locking mechanism to minimize backout of the screws. The rostral screws are angled 12° upward while the trajectory of the caudal screws is angled perpendicular to the plate. The orion plate, like synthes plate is a constrained system, but has a precontoured lordotic curve. The plate provides a convergent screw pattern, with the screws aligning 15° rostrally and 6° medially for the rostral holes and 15° caudally and 6° medially for the caudal holes. There are currently four axially dynamic ventral cervical plates (Figure 6) commercially available in the United States – the DOC VCSS (Depuy­acromed), the ABC plate (Aesculap), the premiere plate (Sofamor­Danek) and the C-Tec plate (Interpore Cross), each differing in the method of implementation of the principle of dynamic spine stabilization. The DOC VCSS system is not applicable to single level constructs and it consists of platforms rigidly fixed to the vertebral bodies via screws, restricting the toggling of the screws in the vertebral body. Platforms slide along two rods while a cross...
fixator rigidly affixed to the rods limits the amount of sliding, and therefore, the amount of subsidence permitted. On the other hand, the ABC system has slotted plates to permitting unrestricted subsidence by allowing the screws to slide within the slots. The screws are locked to the plate by internal locking mechanism, which prevents backout but does not restrict either settling or screw rotation. The premiere and C-Tec plates have similar slotted designs to allow settling, with a retaining band over the screw heads to prevent back out.

Complications of ventral plating

Implant complications

Coe and Vaecaro in their review of literature, reported that the prevalence of screw and plate loosening was between 0 and 15.4%, the prevalence of screw fracture was between 0 and 13.3%, the prevalence of plate fracture was between 0 and 6.7%, the prevalence of plate and graft displacement (with or without graft fracture) (Figures 7, 8, and 9) was between 0 and 21.4%, and the prevalence of implant malposition (screws in discs, plating of unfused segments, etc.) was between 0 and 12.5%.[14] The newer implants are of low profile, designed to minimize the risk of implant dislodgement and to avoid dysphagia/esophageal perforation. Most of modern plates also lock the screws to minimize implant loosening. Lowery and McDonough in their review of 109 patients with three different types of ACP (Orozo, CSLP, and Orion), found overall failure rate of 35%, but combined failure for the locked systems (Orion and CSLP) was only 18%.[15]

Anterior cervical plating has been used to stabilize long corpectomy constructs with a hope to obviate the need for posterior arthrodesis, but only with mixed results. In a retrospective multicenter study of 45 patients with multilevel corpectomies reported by Vaecaro et al., there was 50% incidence of graft/plate dislodgement in the three level corpectomies as only 9% incidence in two level corpectomies (CSLP system used in all but one, with overall incidence of 20% in the whole group.[16] Schultz et al. in their series of 72 patients with anterior plate stabilization combined with posterior lateral mass plating had only one anterior plate related complication.[17] Several other studies suggest a decreased
prevalence of anterior fixation complications in patients who also undergo posterior stabilizations.\textsuperscript{16,19}

Other complications of anterior cervical plating

\textbf{Dysphagia:} After ventral cervical surgery may be as high as 60\%\textsuperscript{20} higher rates of dysphagia are seen with revision surgery with an increased number of levels arthrodesed.\textsuperscript{21} Esophageal injuries (Figure 10) are rare, but are dreaded complications. Injury can occur intraoperatively from a power drill and retractors or postoperatively from extrusion of a bone graft, loosened screws or plates. Oral extrusion of a locking screw from a CSLP\textsuperscript{22} and a missing anterior cervical plate and screws presumably passing without notice through the gastrointestinal tract,\textsuperscript{23} have been reported. Onset of symptoms may vary between 4 and 360 days and intraoperative injury may be unrecognized. Clinically, the symptoms of esophageal perforation include odynophagia, increasing neck pain, swelling, induration, crepitus, and even sepsis.

\textbf{Neurological complications}

\textit{Injury to the recurrent laryngeal nerve:} Is considered the most common neurological complication after anterior cervical spine surgery. The incidence varies between 0.2 and 11\%.\textsuperscript{24-26} Clinical manifestations range from mild symptoms of hoarseness and loss of effective cough mechanism, to upper pharyngeal dysphagia with aspiration, to life-threatening airway obstruction from bilateral recurrent laryngeal nerve injury.\textsuperscript{27} Self-retaining retractors that are too deep, exerting pressure on the trachea and tracheoesophageal groove can result in direct recurrent laryngeal nerve injury.\textsuperscript{24,25,26} The injury may be more common on rightsided approaches where the recurrent laryngeal nerve is more variable,\textsuperscript{27,29,30} but some reports showed no association.\textsuperscript{31} The injury may be more common in the cases of revision surgery.\textsuperscript{31} Most commonly the injury to the nerve is transient lasting several weeks, but it may also be permanent. Monitoring of the endotracheal cuff pressure and temporarily deflating the cuff after retractor placement may minimize the injury to the nerve.\textsuperscript{32,33} Intermittent relaxation of the retraction may also prevent the injury.

Horner’s syndrome (ptosis, pupillary miosis and facial anhidrosis) may occur from injury to the cervical sympathetic chain. The sympathetic trunk is more frequently damaged during the anterior approach to lower cervical spine because it is situated closer to the medial border of the longus colli muscle at C6 than at C3. The longus colli muscles diverge laterally, whereas the sympathetic trunks converge medially at C6.\textsuperscript{34,35} Since the sympathetic plexus lies within the longus colli muscles, it is necessary to keep the dissection medial to this muscle.

\textit{Injury to the spinal cord:} During ventral approach to the cervical spine is a rare but potentially devastating complication.\textsuperscript{36} Spinal cord injury may occur during patient positioning, decompression, fusion, instrumentation, or closure. Extreme flexion and extension of the neck should be avoided. Flexion may induce compression against ventral spondylotic bars.\textsuperscript{37-39} This problem is worse with cervical kyphosis.\textsuperscript{39} Extension may result in compression of the spinal cord dorsally by the buckling ligamentum flavum.\textsuperscript{38,40} One might consider an awake intubation in this situation.

In anterior cervical discectomy and fusion (ACDF), Flynn reported an incidence of 0.05\% of postoperative spinal cord injury.\textsuperscript{41} Spinal cord trauma can occur during inadvertent slippage of an instrument (a burr, curette or a Kerrison). Large Kerrison rongeurs should not be used, as their footplates may cause spinal cord compression. It is preferred to use a high-speed burr to create a thin shell of bone and to use a microcurette and the operative microscope in order to perform a safe and effective decompression. The placement of a bone graft is a potential of trauma to the spinal cord. The depth of the bone graft should be less than that of the vertebral body. Myelopathy can result from a posterior extrusion of the graft while tracheal obstruction, dysphagia, neurologic injury and kyphosis can result from an anterior extrusion (Figure 2). The incidence of graft extrusion is between 1 and 13\%. Good surgical technique and instrumentation can prevent it.\textsuperscript{42} Placement of the screws can cause direct spinal cord injury. This occurs more often with bicortical purchase. Attention to screw and drill length, as well as using drill stops, that limits the depth of the drilling, are important measures while using unicortical fixation in order to avoid inadvertent spinal cord trauma.

The incidence of nerve root palsy has been estimated to be 0.17\%.\textsuperscript{44} Ventral decompressive procedures have reported rates of C5–C6 radiculopathy from 2 to 15\%.\textsuperscript{44} The majority of cases are likely due to nerve root tethering and traction after acute anatomic shift of the spinal cord following decompression from either a ventral or a dorsal approach. The susceptibility of the C5 rootlet to postoperative dysfunction may be due to its anatomic characteristics including shortest length and the most obtuse take off angle from the cord of any cervical root.\textsuperscript{45} Saunders identified risk factors associated with the occurrence of postoperative radiculopathy and they include: age greater than 60 years, severity of the preoperative myelopathy, increased number of levels decompressed and the degree of cervical kyphosis.\textsuperscript{46} He also found that decreasing the width of the corpectomy defect from 20 to 15 mm led to a decrease in the occurrence of radiculopathy. Cerebrospinal fluid leak is also a rare complication and if noticed

\begin{figure}[h]
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\includegraphics[width=0.5\textwidth]{image.png}
\caption{Barium esophagogram showing contrast extravasation following anterior cervical plating and esophageal injury}
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This complication can be avoided by placing the retractor under the longus colli muscles prior to the decompression. The blades of the retractor will prevent retraction injuries. Ventral arterial injury can occur if the dissection is taken too far laterally.[48, 49] The reported incidence ranges from 0.3 to 0.5% of cases.[48, 49] It has been attributed to direct trauma by instruments and high-speed drills during the extended lateral decompression. Therefore it is important to pay attention when using instruments laterally for the resection of the uncovertebral joint or for performing a foraminotomy.[50-53] This complication can be avoided by identifying the midline and landmarks such as the uncovertebral joints and the longus colli muscles prior to the decompression.[49]

Fortunately, infection after cervical spine fusion is uncommon, with a prevalence ranging from 0 to 4.5%.[54] Wound debridement and parenteral antibiotics effectively treat these infections, but implant removal may rarely be necessary in resistant cases.

**Clinical results with anterior cervical plating**

Although there are no randomized, double blinded controlled clinical trials with sufficient enrollment exist regarding ventral subaxial cervical instrumentation to make definitive statements about the use of fixation, the available studies indicate the following:

1. Anterior cervical plating does not conclusively improve clinical outcome of the patients.
2. Plating does not increase complications.
3. Plating does lessen graft extrusion rates.
4. The greater the number of levels fused, the higher the pseudoarthrosis rate.
5. Plate fixation appears to lessen the rate of pseudoarthrosis and kyphosis.

Anterior cervical plate fixation has gained widespread acceptance for the treatment of various cervical spine pathologies as theoretically, it enhances the rate of arthrodesis (fusion). Several clinical studies support this theory. Kaiser et al. reported the fusion rates for one and two level ACDF with anterior fixation were 96 and 91%, respectively, compared with 90 and 72% for one and two level ACDF without anterior fixation (P < 0.01).[55] Similarly, in their series of 59 patients who underwent three level discectomy with and without plate fixation, Wang et al. found that the pseudoarthrosis rate was 18% for patients with plating and 37% for patients with no plating.[56]

As mentioned before, several dynamic implants (third generation implants) are introduced into the market, to eliminate the symptoms associated with previous generation implants and early results of these systems are now available. Apfelbaum et al.[57] reviewed nearly 500 patients involving 800 motion segments with the ABC plating system and reported effective stabilization of the spine in cases of both autograft and allograft fusion, and interbody and corpectomy approaches. Fusion rate was 67% at 3 months and 100% at 24 months, and preservation of lordosis seen in 95% cases. Of note, unrestricted settling allowed by ABC dynamic plating did not cause excessive settling, but facilitated earlier and more substantial fusion. Steinmeutz et al. reported early experience with the DOC VCSS plate in the treatment of 34 patients with multilevel cervical spondylosis.[58] Minimum follow up was 6 months, with an average of 13 months. Fusion rate was 91% with no instances of graft or implant failure in that early follow up. Khoo et al.[59] reported a series of 61 patients with cervical spine trauma – and found that ABC dynamic plating system performed substantially better than the rigid and semi-constrained systems, with less settling, better preservation of lordosis and no construct failure. In summary, axially dynamic fixation systems permit subsidence while stabilizing the spine and minimizing the chance of screw, plate or rod fractures, and are emerging as systems of choice for ventral cervical stabilization. However, long-term results are awaited.

Controversy still exists about ‘adjacent segment changes’ following anterior cervical plating. The overall prevalence of adjacent segment degeneration (radiographic changes seen at levels adjacent to previous spinal fusion procedure) is 25% and that of adjacent segment disease (development of new clinical symptoms that correspond to radiographic changes adjacent to the level of a previous spinal fusion) is 9%.[60] Hilibrand and Robbins found that anterior cervical fusions performed at more than one level had a significantly lower rate of adjacent segment disease than those preformed at a single level (12% vs 18%, P < 0.01). Cervical disc replacement with prosthetic disc implants is hence being tried currently in several centers within the United States, as theoretically, preservation of motion segment by avoiding fusion would prevent adjacent segment disease and the need for further surgery. However, based on the available scientific literature, it is still unclear whether adjacent segment changes are the result of spinal fusion with the iatrogenic production of a rigid motion segment or whether these represent the progression of the natural history of underlying degenerative disease.

**Future trends**

As mentioned previously, dynamic ACP appears to show great promise in reducing graft and implant complications, although long term follow up is not available. Similarly, early reports with the use of anterior cervical cages with local bone autograft are encouraging[20] and these may be just as effective as iliac autograft in achieving solid fusion. In the United States, FDA approval for the use of bone morphogenic protein (BMP) in cervical spine surgery is pending. Bone morphogenic protein may be useful and cost effective in selected cases. A variety of cervical disc replacement devices (prosthetic cervical discs) are emerging which may obviate...
the need for fusion and may lessen the incidence of adjacent segment degeneration. This, of course, is yet to be proven.

Conclusion
Anterior cervical plating is indicated for selected traumatic and nontraumatic cervical pathologies. A good understanding of the biomechanics of the implant and the cervical spine is essential to select the appropriate plating system for anterior cervical spine surgery. Anterior cervical plating certainly enhances the efficacy of autograft or allograft fusion and decreases the pseudarthrosis rates after multilevel discectomy and fusions. But, the benefit of routine addition of a plate after a single level fusion is debatable. The costs of complications of not using a plate (even after a single level discectomy and fusions) can be excessive, and hence, plating for all anterior cervical spinal surgeries is justifiable. However, surgeons should be cautious regarding the use of new and potentially improved technologies, such as dynamic implants and prosthetic cervical disc devices.

References
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