ABSTRACT

The blood brain barrier (BBB) represents one of the strictest barriers of in vivo therapeutic drug delivery. The barrier is defined by restricted exchange of hydrophilic compounds, small proteins and charged molecules between the plasma and central nervous system (CNS). For decades, the BBB has prevented the use of many therapeutic agents for treating Alzheimer’s disease, stroke, brain tumor, head injury, spinal cord injury, depression, anxiety and other CNS disorders. Different attempts were made to deliver the drug across the BBB such as modification of therapeutic agents, altering the barrier integrity, carrier-mediated transport, invasive techniques, etc. However, opening the barrier by such means allows entry of toxins and undesirable molecules to the CNS, resulting in potentially significant damage. An attempt to overcome the barrier in vivo has focused on bypassing the BBB by using a novel, practical, simple and non-invasive approach i.e. intranasal delivery. This method works because of the unique connection which the olfactory and trigeminal nerves (involved in sensing odors and chemicals) provide between the brain and external environments. The olfactory epithelium acting as a gateway for substances entering the CNS and peripheral circulation is well known. Also, it is common knowledge that viral infections such as common cold, smallpox, measles, and chicken pox take place through the nasopharynx. The neural connections between the nasal mucosa and the brain provide a unique pathway for the non-invasive delivery of therapeutic agents to the CNS. This pathway also allows drugs which do not cross the BBB to enter the CNS and it eliminates the need for systemic delivery and thereby reducing unwanted systemic side effects. Intranasal delivery does not require any modification of therapeutic agents and does not require that drugs be coupled with any carrier. A wide variety of therapeutic agents, including both small molecules and macromolecules can be rapidly delivered to the CNS using this method. The present review discusses the various applications, advantages and limitations of this novel approach.

KEY WORDS: CSF, nasal drug delivery, protein, polypeptide drugs
soluble molecules transport across the membrane where hydrophilic solutes demonstrate minimal permeation. The BBB impedes the use, for example, of many of the newer genetically engineered drugs, such as humane recombinant neurotrophic factors and other therapeutic agents that can protect brain cells from damage and promote nerve repair. This impediment created by BBB can be overcome by three broad categories of techniques, namely

(i) **Delivery across the BBB** by manipulation of the drug to make BBB permeable to it (prodrug approach), utilization of carriers or transporters. However, these methods are complex and require drugs to possess certain specific characteristics and hence do not work effectively for all therapeutic agents. Drugs are administered by classical intravenous or intraperitoneal injections, or through the digestive tract, lung, or skin. However, part of these lipophilic chemicals are subject to being metabolized in the liver, resulting in a modification in the amount of circulating drug available to the brain. Moreover, the liver, kidney, intestine, skin, lung and also tissues separating the brain from the bloodstream express enzymes able to metabolize xenobiotics. Another part of the dosage may be excreted by the kidney before entry into the CNS, rendering the precise amount of the drug that finally enters the brain difficult to be estimated. Similarly, drug delivery by intracerebroventricular (icv) devices (catheter, or osmotic pumps for intraventricular drug infusion) or by surgical implantation of devices that release an active molecule near its pharmacological target for variable time durations, has its own limitations.

(ii) **Direct delivery to the brain** by icv administration or surgical implantation, allows the administration of a precise amount of drug to the brain but requires invasive neurosurgery, hence is restricted to a limited number of applications (e.g., the administration of water soluble anticancer drugs, or treatment of intractable pain by direct central administration of morphine, to prevent fatal side-effects due to over-dosage). (Figure 1)

(iii) **Bypassing the BBB**: The third and the emerging approach is to bypass the BBB by intranasal delivery, which provides a practical, non-invasive, rapid and simple method to deliver the therapeutic agents to the CNS. This method works because of the unique connection between the nose and the brain that has evolved to sense odors and other chemical stimuli. This method is the thrust of this article.

Recently, Illum has thoroughly reviewed the possibilities, problems, and solutions of nasal drug delivery. She has reported that it is feasible to deliver challenging drugs efficiently such as small polar molecules, peptides and proteins and even the large proteins and polysaccharides like vaccines or DNA plasmids exploited for DNA vaccines. On the basis of clinical trials (Phase I and II) it is reported that the intranasal route is feasible for the transport of the drug to the CNS.

Intranasal delivery does not require any modification of the therapeutic agents and does not require that drugs be coupled with any carrier like in case of drug delivery across the BBB. A wide variety of therapeutic agents, including both small molecules and macromolecules can be successfully delivered, including to the CNS, using this intranasal method (Table 1).

**Advantages**

The advantages of intranasal delivery are considerable. This method is:

1. Non-invasive, rapid and comfortable
2. Bypasses the BBB and targets the CNS, reducing systemic exposure and thus systemic side effects
3. Does not require any modification of the therapeutic agent being delivered
4. Works for a wide range of drugs. It facilitates the treatment of many neurologic and psychiatric disorders
5. Rich vasculature and highly permeable structure of the nasal mucosa greatly enhance drug absorption
6. Problem of degradation of peptide drugs is minimized up to a certain extent
7. Easy accessibility to blood capillaries
8. Avoids destruction in the gastrointestinal tract, hepatic “first pass” elimination and gut wall metabolism, allowing increased, reliable bioavailability.

**Limitations**

1. Concentration achievable in different regions of the brain and spinal cord, varies with each agent
2. Delivery is expected to decrease with increasing molecular weight of drug
3. Some therapeutic agents may be susceptible to partial degradation in the nasal mucosa or may cause irritation to the mucosa
4. Nasal congestion due to cold or allergies may interfere with this method of delivery
5. Frequent use of this route results in mucosal damage (e.g. infection, anosmia).

**How does it work?**

To understand the mechanism, pathways, distribution and absorption of therapeutic agents administered to the CNS by the intranasal route, a brief description of the nasal physiology is considered necessary.

**Nasal physiology**

The nose is divided into two nasal cavities via the septum.
The volume of each cavity is approximately 7.5 mL and has a surface area around 75 cm. There are three different functional regions in the nose-vestibular, respiratory, and olfactory. Of these, the respiratory region is the most important for systemic drug delivery. The respiratory epithelium consists of basal, mucus-containing goblet, ciliated columnar and non-columnar cell types. The Celia move in a wavelike fashion as they clear the nasal cavity by the cilia. The rate of mucus flow through the nose is approximately 5 to 6 mm/min resulting in particle clearance within the nose every 20 min.

The nasal cavity also has a key role in the sense of smell. The olfactory nerves, which originate as specialized olfactory nerve endings (chemoreceptors) in the mucous membrane of the roof of the nasal cavity above the superior nasal concha, are the sensory nerves of smell. On each side of the septum nerve fibers pass through the cribriform plate of the ethmoid bone to the olfactory bulb, where interconnections and synapses occur. From the bulb, a bunch of nerve fibers pass through the olfactory tract and reach the olfactory area in the temporal lobe of the cerebral cortex in each hemisphere, where the impulses are interpreted and odor is perceived. Another set of nerves emanating from the nasal cavity is the maxillary branch of the trigeminal nerves, which are general sensory nerves and also contain thermoreceptors.

The nasal epithelium is covered by a mucus layer that is renewed every 10 to 15 min. The pH of mucus secretion ranges from 5.5 to 6.5 in adults and from 5.0 to 6.5 in children. The mucus layer entraps particles, which are then cleared from the nasal cavity by the cilia. The rate of mucus flow through the nose is approximately 5 to 6 mm/min resulting in particle clearance within the nose every 20 min.

The nasal cavity also has numerous enzymes. In humans, cytochrome P450 enzyme isoforms that have been identified are CYP1A, CYP2A and CYP2E2. Other enzymes detected in the human nose include carboxylesterases and glutathione S-transferases.

In addition to its function as a passageway for respiration, the nasal cavity also has a key role in the sense of smell. The olfactory nerves, which originate as specialized olfactory nerve endings (chemoreceptors) in the mucous membrane of the roof of the nasal cavity above the superior nasal concha, are the sensory nerves of smell. On each side of the septum nerve fibers pass through the cribriform plate of the ethmoid bone to the olfactory bulb, where interconnections and synapses occur. From the bulb, a bunch of nerve fibers pass through the olfactory tract and reach the olfactory area in the temporal lobe of the cerebral cortex in each hemisphere, where the impulses are interpreted and odor is perceived. Another set of nerves emanating from the nasal cavity is the maxillary branch of the trigeminal nerves, which are general sensory nerves and also contain thermoreceptors.

**Mechanisms**

Two mechanisms are involved in the nasal delivery. A fast rate that depends on lipophilicity, and a slower rate that depends on molecular weight. McMartin et al. studied the transport of SS-6 (an octapeptide) and horseradish peroxidase through a rat’s nasal cavity. Their absorption studies are consistent with the non-specific diffusion of the penetrant molecules through aqueous channels located between the nasal mucosal cells, which impose a size restriction on nasal permeability. The data indicate that good bioavailabilities can be achieved for molecules up to 1000 Da (without enhancers) and good availability can be extended to at least 6000 Da with enhancers.
The transport mechanisms of different substances like insulin, mannitol or propranolol across the nasal mucosal tissue were studied by Wheatley et al. The transport of these substances occurs by a passive transport mechanism. The addition of deoxycholate (0.1%) reversibly increased the transepithelial conductance across the nasal membrane and enhanced the transport of mannitol and insulin. The transport of tyrosine and phenylalanine across rat mucosa was also studied by using an in-situ perfusion technique. It was found that both amino acids were absorbed by an active saturable transport process, which appeared to be Na\(^+\) dependent, and transport may have required metabolic energy as a driving force.

Water-soluble substances such as sodium cromoglycate are absorbed well and nasal absorption probably depends on aqueous channel diffusion (pores). The molecular size of the compound will be a determinant in the rate of absorption in such a channel.

**Pathways**

The olfactory epithelium is a gateway for substances entering the CNS and the peripheral circulation. The neural connections between the nasal mucosa and the brain provide a unique pathway for the non-invasive delivery of therapeutic agents to the CNS. The olfactory neural pathway provides both an intraneuronal and extraneuronal pathway into the brain. The intraneuronal pathway involves axonal transport and requires hours to days for drugs to reach different brain regions. While the extraneuronal pathway probably relies on bulk flow transport through perineural channels, which deliver drugs directly to the brain parenchymal tissue and/or CSF. The extraneuronal pathway allows therapeutic agents to reach the CNS within minutes.

**Drug distribution**

Drug distribution in the nasal cavity is an important factor that affects the efficiency of nasal absorption. The mode of drug administration may affect this distribution, which in turn can help determine the extent of absorption of a drug. Nasal deposition of particles is related to the individual’s nasal resistance to airflow. With nasal breathing, nearly all the particles having an aerodynamic size of 10-20 mm are deposited on the nasal mucosa. The deposition of particles in the respiratory tract is a function of particle size and respiratory patterns. The density, shape, and hygroscopicity of particles, and the pathological conditions in the nasal passage will influence the deposition of the particle, whereas the particle-size distribution will determine the site of deposition and affect the subsequent biological response in animals and humans. Furthermore, improvement of the delivery system and drug formulation is necessary to achieve a better clinical effect and easier handling by patients.

Three mechanisms are usually considered in assessing particle deposition in the respiratory tract, i.e., inertia, sedimentation and diffusion, the first being the dominant mechanism in nasal deposition. Any particle with an aerodynamic diameter of 50 mm or greater does not enter the nasal passage. It was demonstrated that 60% of aerosolized particles of 2-20 mm are deposited in the anterior regions of the nostrils, 2-3 mm from the external nares. The site of drug deposition within the nasal cavity depends on the type of delivery system and the technique used in application.

**Drug absorption**

The first step in the absorption of drugs from the nasal cavity is passage through the mucus. Small, uncharged particles easily pass through this layer. However, larger or charged particles may find it more difficult to cross. Mucin, the principal protein in the mucus, has the potential to bind solutes, hindering diffusion. Additionally, structural changes in the mucus layer are possible as a result of environmental changes (i.e., pH and temperature). After a drug’s passage through the mucus, there are several mechanisms for absorption through the mucosa. These include transcellular or simple diffusion across the membrane, paracellular transport via movement between cells, and transcytosis by vesicle carriers. Obstacles to drug absorption are, potential metabolism before reaching the systemic circulation and limited residence time in the cavity.

Nasal absorption is affected by molecular weight, size, formulation pH, pKa of molecule, and delivery volume among other formulation characteristics. Molecular weight still presents the best correlation to absorption. The apparent cut-off point for molecular weight is approximately 1.000

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**Figure 2:** Pathways showing drug administration to the CNS by the intranasal route
daltons, with molecules less than 1,000 having better absorption.\textsuperscript{23} Shape is also important. Linear molecules have lesser absorption than cyclic-shaped molecules.\textsuperscript{22} Additionally, particles should be larger than 10 mm, otherwise the drug may be deposited in the lungs.\textsuperscript{48}

Hydrophilicity has been found to decrease a drug’s bioavailability.\textsuperscript{39} pH is also an important formulation factor for drug absorption. Both the pH of the nasal cavity and pKa of a particular drug need to be considered to optimize systemic absorption. Nasal irritation is minimized when products are delivered with a pH range of 4.5 to 6.5.\textsuperscript{50}

Volume and concentration are also important considerations. The delivery volume is limited by the size of the nasal cavity. An upper limit of 25 mg/dose and a volume of 25 to 150 mL/nostril have been suggested.\textsuperscript{50}

Applications

Delivery of protein therapeutic agents /Macromolecules to CNS

In the age of advanced peptide, protein, and vaccine research, nasal administration of such compounds provides an attractive delivery route. In case of oral administration, the bioavailability of protein molecules tends to be relatively low due to their large molecular size and rapid enzymatic degradation.\textsuperscript{51} Because of their physicochemical instability and susceptibility to hepato-gastrointestinal “first pass” elimination, peptide/protein drugs are generally administered parenterally. It is on this background that intranasal administration seems a promising option. Most nasal formulations of peptide/protein drugs have been made up in simple aqueous or saline solutions with preservatives. Recently, more R&D work has been directed towards the development of nasal drug delivery systems for peptide/proteins. Currently, in the United States only four intranasal pharmaceutical products for systemic delivery have been marketed i.e. desmopressin (DDAVP), lypressin (Diapid), oxytocin (Syntocinon), and nafarelin acetate (Synarel).

Delivery of protein therapeutic agents to the CNS clearly involves extraneuronal transport as it occurs within minutes rather than hours. A number of protein therapeutic agents have been successfully delivered to the CNS using intranasal delivery in a variety of species. Neurotrophic factors such as NGF,\textsuperscript{63,62} IGF-I,\textsuperscript{21} FGF\textsuperscript{60} and ADNF12 have been intranasally delivered to the CNS in rodents.\textsuperscript{53} Studies in humans, with proteins such as ARP,\textsuperscript{54} CGK analog,\textsuperscript{23} MSH/ACTH,\textsuperscript{65,57} and insulin\textsuperscript{58,59} have revealed that they are delivered directly to the brain from the nasal cavity. Hussain\textsuperscript{60} recently reviewed animal models to study nasal absorption and the effect of physicochemical and biopharmaceutical properties of drugs on the rate and extent of absorption. The review also discusses factors affecting peptide absorption and methods to improve the nasal bioavailability of peptides.

The bioavailability of protein molecules tends to be relatively low due primarily to their large molecular size and rapid enzyme degradation. As the number of amino acids increases beyond about 20, bioavailability becomes very low.\textsuperscript{51} To overcome these issues, much research has been conducted in the areas of absorption enhancers and bioadhesive agents. Absorption enhancers are used to increase the bioavailability. They are basically surfactants, glycosides, cyclodextrin and glycols. They improve absorption through many different mechanisms, such as increasing membrane fluidity, increasing nasal bloodflow, decreasing mucus viscosity, and enzyme inhibition.\textsuperscript{48} The classic example of a polypeptide compound with low nasal bioavailability is calcitonin. Its molecular weight is approximately 3,500 daltons and contains 32 amino acids in length. When calcitonin was given intranasally to rats and rabbits using a number of different cyclodextrins, its absorption as measured by a decrease in serum calcium concentration, was significant in comparison to the formulation without additive.\textsuperscript{61}

Another technique aimed to increase nasal absorption is the utilization of bioadhesive agents. These compounds promote binding of drugs to biological material in the nasal cavity, thereby extending their residence time and allowing increased absorption. Common bioadhesive materials are carbopol, cellulose agents, starch, dextran, and chitosan.\textsuperscript{62,67}

Liu et al\textsuperscript{68,69} have demonstrated the therapeutic benefit of intranasal delivery of proteins in stroke studies. They have shown that intranasal IGF-I reduces infarct volume and improves neurologic function in rats with middle cerebral artery occlusion (MCAO). A preliminary report indicates that intranasal treatment is effective even when delayed for 4 h after the onset of MCAO.\textsuperscript{70} Gozes et al\textsuperscript{71} have shown that intranasal administration of a Vasoactive Intestinal Peptide Analog (VIP analog, containing 28 amino acids) prevented learning and memory impairments resulting from cholinergic blockade in rats treated with aziridin. They also demonstrated that a nine amino-acid fragment of ADNF (ADNF-9) and an ANDF-like peptide (NAP) also protected against short-term memory loss in the same animal model.

Research in humans has also provided evidence of direct delivery of macromolecules to the CNS from the nasal cavity. Kern et al\textsuperscript{72} have demonstrated CNS effects of intranasal corticotropin-releasing hormone (CRH) without altering plasma cortisol or CRH levels. Perras et al\textsuperscript{73} have reported that intranasal delivery of growth hormone-releasing hormone (GHRH) not only increased rapid eye movement sleep and slow wave sleep in humans, but also decreased growth hormone.

Al-Ghananeem et al\textsuperscript{74} carried out a study on the utility of the nasal route for delivery of 17β-oestradiol, using its water-soluble prodrug. The study revealed that CSF concentration of 17β-oestradiol following intranasal delivery of prodrug was higher compared to an equivalent intravenous dose. This result has a significant value in the treatment of Alzheimer’s disease.

The efficacy of peptide/protein delivered intranasally is highly dependent on the molecular structure of the drugs and their size. Respiratory epithelial cells are capable of absorbing peptide/protein by a vesicular transport mechanism, followed by transfer to the extracellular spaces, and subsequent uptake by the submucosal vascular network.\textsuperscript{75}

Delivery of DNA plasmids to the CNS

Of the several routes available for immunization, the nasal route is particularly attractive because of the ease of ad-
ministration and the induction of potent immune responses, particularly in the respiratory tract. However, adjuvants and delivery systems are required to enhance immune responses following nasal immunization. The use of microparticles [poly(lactide co-glycolide)] as adjuvants and delivery systems for protein and DNA vaccines for nasal immunization were reviewed by Vajdy et al. It has also been reported that after nasal administration of DNA plasmids, the level of plasmid in the brain was, 3.9 to 4.8 times higher than the plasmid concentration in the lungs and spleen. It was also found that the plasmid DNA reached the brain within 15 min following intranasal administration. The higher distribution of plasmid to the brain after intranasal administration indicates that nasal administration might be a potential route for the delivery of therapeutic genes to the brain with reduced side-effects in the other organs. The plasmid administered in this study was very large as was the plasmid detected in the brain.

Delivery of small molecules to the CNS

Many small molecules have been shown to be transported directly to the brain and/or CSF from the nasal cavity. This has been reviewed by Illum and Mathison et al. Anand kumar et al and David et al have demonstrated intranasal delivery of estrogen and progesterone respectively, to the CSF. Studies have also shown that drugs such as L-NAME and cocaine (at the lower end of the lipophilicity scale) have a higher CSF and olfactory bulb concentration after nasal administration than that obtained after parenteral administration. The properties of small molecules, including size and lipophilicity affect delivery to the CNS following intranasal delivery.

Sakane et al reported that following intranasal administration of the antibiotic cephalexin to rats, higher CSF concentration was reached at 15 min but it declined to approximately half that concentration at 30 min. Because cephalexin does not cross the BBB well and because CSF concentration was 166-fold higher after intranasal administration than after systemic administration in spite of similar blood levels, it was concluded that cephalexin entered the CSF directly from the nasal cavity. Using a series of fluorescein isothiocyanate-labeled dextrans (FITC-dextran) with increasing molecular weights, it was found that dextrans with molecular weights of up to 20,000 daltons could be transported directly from the nasal cavity of rats into the CSF. The concentration of the FITC-dextrans in the CSF increased with decreasing molecular weight. These FITC-dextrans are not found in the CSF after intravenous administration. Similarly, a comparison of the brain olfactory bulb concentrations achieved 30 min after intranasal administration. Similarly, a comparison of the brain olfactory bulb concentration after nasal administration indicated that nasal administration might be a potential route for the delivery of therapeutic genes to the brain with reduced side-effects in the other organs. The plasmid administered in this study was very large as was the plasmid detected in the brain.

Conclusion

In summary, the advantages of intranasal delivery are considerable. It is both rapid and non-invasive. It bypasses the BBB and targets the CNS, reducing systemic exposure and thus systemic side effects. Even for drugs that can cross the BBB, it can reduce systemic side effects by reducing the need for the drug to enter the systemic circulation. It does not require any modification of the therapeutic agent being delivered and should work for a wide range of drugs. Intranasal delivery may facilitate the treatment and prevention of many different neurologic and psychiatric disorders.

References