Formulation and *In Vitro* Evaluation of pH-Sensitive Oil-Entrapped Buoyant Beads of Clarithromycin

GK Tripathi* and S Singh

*Industrial Pharmacy Laboratory, Department of Pharmacy, Saroj Institute of Technology & Management Lucknow, India-226001*

**Abstract**

**Purpose:** To develop pH-sensitive controlled release formulation of clarithromycin in oil-entrapped calcium pectinate microgel bead.

**Methods:** Pectin-based oil-entrapped microgel beads were prepared by ionic gelation technique. The gel beads were formed instantly after adding the liquid formulation mixture dropwise into calcium chloride solution. The beads were optimized by coating with ethylcellulose solution and then evaluated for their diameter, floating lag time, encapsulation efficiency and drug release.

**Results:** Particle size, encapsulation efficiency and buoyancy were significantly affected by the concentration of the polymer and calcium chloride. The formulation exhibited sustained release profile and was best fitted to the Peppas model with \( n < 0.45 \). Ethylcellulose-coated formulation batch, \( C_{16} \), was the most suitable controlled formulation with drug release of 65 ± 2.61 % in 8 h.

**Conclusion:** An ethylcellulose-coated formulation with potential for sustained delivery of clarithromycin in the acidic region of the gastrointestinal tract was successfully developed.

**Keywords:** Clarithromycin; Calcium pectinate bead; Gastric residence time; pH-sensitive; Ethyl cellulose; Oil-entrapped

*Corresponding author: E-mail: orgpharm@gmail.com; Tel: 09415745934*
INTRODUCTION

The oral route has attracted special attention for the delivery of anti infective agents that are needed to produce local action in the gastrointestinal tract. This route of administration usually shows high compliance by patients due to ease of administration.

A search of the scientific and patent literature reveals an increased interest in novel dosage forms for the targeting of different parts of the gastrointestinal tract for drug retention at the site of action for a predetermined time [1,2]. This approach is especially most attractive for the delivery of anti-infective agents for the targeting of local microbial lesions inside gastrointestinal tract and promises to provide a more effective cure of such infectious lesions than conventional dosage forms. This is because a major problem frequently encountered with conventional oral dosage forms is the inability to localise drug release in the stomach and proximal portion of the small intestine [3,4]. Floating drug and bioadhesive drug delivery systems are widely used techniques for gastroretention [5,6].

Clarithromycin is a semi-synthetic macrolide antibiotic derived from erythromycin. It is primarily bacteriostatic and exerts its antimicrobial effect by the inhibition of protein synthesis on bacterial ribosome [7,8]. Pectin is a colloidal polygalacturonic acid in which some of the carboxylic groups are esterified with methyl groups. The main constituent of pectin is D-galectouronic acid [9,10]. This low methoxy polysaccharide polymer, with a degree of esterification < 50 %, can form rigid gels in the presence of calcium ions or other multivalent cations which crosslink the galacturonic acid chains of pectin to yield hydrogels that are stable at low pH. Pectin can reduce interfacial tension between an oil phase and a water phase and is efficient for the preparation of emulsions [11].

The objective of this work was to develop a gastroretentive, multiple-unit, controlled release formulation of clarithromycin that would achieve continuous release of the drug in the gastric region and thus be useful for complete termination of microbial infection at gastric sites.

EXPERIMENTAL

Materials

Clarithromycin was obtained as a gift from Ranbaxy Laboratories, Devash, India. Low-methoxy pectin, with a degree of esterification of 35 %, and ethyl cellulose, were obtained from S.D. Fine Chemicals, India. Light mineral oil and castor oil were supplied by Central Drug House, India.

Preparation of calcium pectinate beads

The gel beads were formulated using a $2^3$ factorial design. The effect of concentration of the oils (castor and mineral oils), pectin and calcium chloride were fixed in the formulation as independent variables. The effect of the dependent variables in the formulation was investigated in terms of bead diameter, floating lag time and encapsulation efficiency. The composition of eighteen batches of the drug-loaded calcium pectinate beads is given in Table 1.

Table 1: Composition of drug-loaded calcium pectinate gel beads

<table>
<thead>
<tr>
<th>Formulation</th>
<th>Pectin (%w/v)</th>
<th>Oil (%w/v)</th>
<th>CaCl$_2$ (mol/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td>C$_1$/M$_1$</td>
<td>1.25</td>
<td>5</td>
<td>0.275</td>
</tr>
<tr>
<td>C$_2$/M$_2$</td>
<td>1.25</td>
<td>10</td>
<td>0.275</td>
</tr>
<tr>
<td>C$_3$/M$_3$</td>
<td>1.85</td>
<td>5</td>
<td>0.275</td>
</tr>
<tr>
<td>C$_4$/M$_4$</td>
<td>1.25</td>
<td>5</td>
<td>0.450</td>
</tr>
<tr>
<td>C$_5$/M$_5$</td>
<td>1.25</td>
<td>15</td>
<td>0.275</td>
</tr>
<tr>
<td>C$_6$/C$_6$</td>
<td>1.85</td>
<td>5</td>
<td>0.450</td>
</tr>
<tr>
<td>C$_7$/C$_7$</td>
<td>1.25</td>
<td>15</td>
<td>0.450</td>
</tr>
<tr>
<td>C$_8$/C$_8$</td>
<td>1.85</td>
<td>10</td>
<td>0.450</td>
</tr>
<tr>
<td>C$_9$/C$_9$</td>
<td>1.85</td>
<td>15</td>
<td>0.450</td>
</tr>
</tbody>
</table>

Note: C and M are formulations containing castor oil and mineral oil, respectively; each formulation contained clarithromycin 0.5 %w/v

Oil-entrapped calcium pectinate gel beads were prepared by ionic gelation method. The
drug was dispersed in varying concentrations of aqueous solution of pectin (1.25 - 1.85 %) with continuous stirring until a uniform dispersion containing 0.5 % of the drug was obtained. The mixture was emulsified with either mineral oil or castor oil using a Silverson emulsifier (Hicon, India) at a constant stirring rate of 500 rpm for 5 min. The resulting drug-loaded emulsion was dropped through a 21G syringe needle separately into 100 mL of 0.275 - 0.45 mol ml⁻¹ of calcium chloride solution and stirred with a magnetic stirrer to improve the mechanical strength of the beads and to prevent their aggregation. Formation of small microgel beads of clarithromycin based on either castor oil (COB) or mineral oil (MOB) occurred after 5 min of curing. The beads were washed with distilled water, collected by filtration through Whatman filter paper no. 1 and dried in a tray dryer at 40 °C for 6 h.

Coating of gel beads

The selected gel bead formulations were coated with ethyl cellulose (EC) in a 2² factorial design (see Table 2) for optimization. The coating formulation was 5 – 10 %w/v ethyl cellulose (EC) solution in acetone and coating time was 5 - 10 min. The gel beads (2 g) were placed in a fluidized bed dryer (TG 100, Retsch, Germany) and the fluidized beads were sprayed with the coating solution for a period of 5 or 10 min at an air inlet speed of 220 m/s at room temperature. The beads were dried at room temperature for a period of 24 h when the solvent evaporated, leaving a film coat on the gel beads.

Size and morphology

The particle size of the beads were determined in three sets using an optical microscope (Model BH-2, Olympus, Japan) fitted with a stage micrometer. Twenty dried beads were measured for the calculation of mean diameter. The external and internal morphology of the beads were studied by scanning electron microscopy (SEM). In this assessment, the beads were first coated with gold palladium under argon atmosphere using a gold sputter module in a high vacuum evaporator. The coated samples were then observed with SEM.

In vitro floating study

In vitro floating test was performed using a USP 24 dissolution apparatus II in 500 mL of phthalate buffer solution (pH 3.4) with the medium temperature kept at 37 ± 0.5 °C. The floating beads (1 g) were placed in the dissolution medium agitated with a paddle at 50 rpm. After agitation, the beads that floated on the surface of the medium and those that settled down at the bottom of the flask were recovered separately. Lag time (the time taken for the beads to float at the surface of the medium) and floating behaviour were observed for up to 12 h [12].

Determination of drug-loading and encapsulation efficiency

Accurately weighed (100 mg) grounded powder of beads was soaked in 100 ml phosphate buffer (pH 7.5) and allowed to disintegrating completely for 4 h [13]. The resulting dispersion was sonicated using a probe sonicator (UP 400 s, Dr. Hielscher GmbH, Germany) for 30 min and then filtered through a 0.45 µm filter. The polymeric debris was washed twice with fresh phosphate buffer to extract any adhered drug and drug content was determined spectrophotometrically at λmax of 353 nm against a constructed calibration curve. The encapsulation efficiency (EE) was calculated according to the relationship in Eq 1.

\[ EE(\%) = \frac{C}{T} \times 100 \]  

where C is the calculated drug content and T is the theoretical drug content.

In vitro drug release

In vitro dissolution studies were performed for the gel beads using USP 24 dissolution test apparatus II (basket type) [13]. Accurately weighed 50 mg amount of the bead...
(containing 19 – 21 mg of active drug) dropped in 900 ml of simulated gastric fluid (SGF, fasting state, pH 1.2; prepared by dissolving 2 g of sodium chloride, 3.2 g pepsin, and 6.8 ml of hydrochloric acid in double distilled water to 1 L), or fed state (phthalate buffer solution, pH 3.4) maintained at 37 ± 0.5 ºC and stirred at a speed of 50 rpm. At different time intervals over a period of 8 h, a 10 mL aliquot of the medium was withdrawn and replenished with an equivalent volume of plain dissolution medium. The samples were filtered, suitably diluted and analyzed at a wavelength of 353 nm using a UV-visible spectrophotometer (Shimadzu). The drug release data were corrected for drug loss during sampling and degradation at acidic pH. All the tests were carried out in triplicate. Additionally, an experimental batch containing 10 mg clarithromycin and lactose (q.s.) filled into an empty capsule shell (#2) was used as a reference formulation.

Kinetic release evaluation

To investigate the mode of drug release from the microgel beads, the release data were analyzed with various release kinetic models (zero order, Higuchi and Korshmaer-Peppas) to elucidate their mechanism of drug release in the fed state [14-16]. The analysis of the dissolution data was carried out using Eqs 2 – 4 for zero order, Higuchi and Korsemeyer-Peppas models, respectively.

\[ M_t = M_0 + K_0 t \] ………………… (2)
\[ M_t = M_0 + K_H t^{0.5} \] ………………… (3)
Korsemeyer-Peppas model:
\[ M_t / M_\infty = k t^n \] ………………… (4)

where \( M_t \) is the amount of drug dissolved in time \( t \), \( M_0 \) is the initial amount of drug, \( K_0 \) is the zero order release constant and \( K_H \) is the Higuchi rate constant. \( M_t / M_\infty \) is the fraction of drug release at time \( t \), \( k \) is the release rate constant, and \( n \) is the release exponent indicative of the mechanism of release.

Statistical analysis

The results were expressed as mean ± SD (standard deviation). Statistical evaluation of the data was performed using analysis of variance (ANOVA) and, depending on the outcome of ANOVA, Dunnett’s multiple comparison test was also applied. Statistically significant difference between the means of batches was set at \( p < 0.05 \).

RESULTS

The scanning electron micrographs (SEM) of the dried microgel beads, \( C_6 \) and \( M_6 \), are shown in Figure 1. Gel beads prepared from mineral oil (MOB) were white, translucent and rigid, while castor oil-based gel beads (COB) were off–white, translucent and elastic.

![Figure 1: Scanning electron micrographs of dried beads of batch \( C_6 \) (COB, 1A) and batch \( M_6 \) (MOB, 1B)](image)

Encapsulation efficiency was highest for batch \( C_6 \) (75.0 ± 0.8 %) and batch \( M_6 \) (70.0 ± 0.3 %) while drug content was 55.0 ± 1.3 % and 67.0 ± 1.3 %, respectively, for the batches.

In vitro drug release

The dissolution data are shown in Fig 2. The results indicate that 90.1 ± 2.5 % of the pure drug (batch F) dissolved in 2 h in fasting state.
(pH 1.2) while for the fed state (F₂, pH 3.4), the figure was 87.5 ± 2.5 %. In 4h, drug release from batch C₆ was 80.0 ± 2.4 % (fasting state) and from batch M₆ 76.0 ± 2.7 % (fed state) in simulated gastric fluid (SGF). For the EC-coated beads (batch C₆), a maximum dissolution efficiency of 65.5 % was attained in 8 h, as shown in Table 2.

Table 2: Independent variables for ethyl cellulose-coated beads

<table>
<thead>
<tr>
<th>Formula code</th>
<th>EC conc. (%)</th>
<th>Coating time (min)</th>
<th>DR₈h (%)</th>
<th>R²</th>
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<tbody>
<tr>
<td>C₆₁</td>
<td>5</td>
<td>5</td>
<td>65.5</td>
<td>0.984</td>
</tr>
<tr>
<td>C₆₂</td>
<td>5</td>
<td>10</td>
<td>60.4</td>
<td>0.972</td>
</tr>
<tr>
<td>C₆₃</td>
<td>10</td>
<td>5</td>
<td>50.8</td>
<td>0.972</td>
</tr>
<tr>
<td>C₆₄</td>
<td>10</td>
<td>10</td>
<td>42.4</td>
<td>0.968</td>
</tr>
</tbody>
</table>

DR = dissolution efficiency; R² = correlation coefficient

Drug release from the optimized bead formulations C₆ and M₆ followed the Higuchi (R² = 0.9841, n = 0.41) and Peppas models (R² = 0.9827, n = 0.39), respectively. Correlation coefficient (R²) of the coated batches (see Table 2), based on zero order release kinetics, ranged from 0.968 – 0.984.

**DISCUSSION**

Spherical gel beads were formed instantaneously when emulsion was dropped into calcium chloride solutions. Gelation occurred due to intermolecular cross-linking between the divalent calcium ions and the negatively charged carboxyl groups of pectin. Pectin promoted the emulsification of the mixture of water and oil phases during homogenization and the resulting oil droplets were dispersed in calcium crosslinked network of the formulation.

Table 3: Characterization of 100 mg clarithromycin gel beads (± SD, n = 3 or 100)

<table>
<thead>
<tr>
<th>Formulation</th>
<th>Diameter (mm)</th>
<th>Lag time (s)</th>
<th>Encapsulation efficiency (%)</th>
<th>Drug content (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>COB MOB MOB COB</td>
<td>MOB COB MOB COB</td>
<td>MOB COB MOB COB</td>
<td>MOB COB MOB COB</td>
<td>MOB COB MOB COB</td>
</tr>
<tr>
<td>C₁</td>
<td>1.24±0.6</td>
<td>1.45±0.8</td>
<td>20±1.6</td>
<td>30±1.3</td>
</tr>
<tr>
<td>C₂</td>
<td>1.32±0.5</td>
<td>1.53±0.7</td>
<td>28±1.3</td>
<td>37±1.8</td>
</tr>
<tr>
<td>C₃</td>
<td>1.36±0.3</td>
<td>1.58±0.2</td>
<td>46±1.4</td>
<td>57±1.6</td>
</tr>
<tr>
<td>C₄</td>
<td>1.28±0.7</td>
<td>1.49±0.6</td>
<td>15±1.9</td>
<td>26±1.5</td>
</tr>
<tr>
<td>C₅</td>
<td>1.34±0.8</td>
<td>1.55±0.4</td>
<td>36±1.3</td>
<td>47±1.8</td>
</tr>
<tr>
<td>C₆</td>
<td>1.46±0.3</td>
<td>1.67±0.5</td>
<td>10±1.7</td>
<td>16±1.9</td>
</tr>
<tr>
<td>C₇</td>
<td>1.38±0.2</td>
<td>1.59±0.3</td>
<td>40±1.8</td>
<td>58±1.2</td>
</tr>
<tr>
<td>C₈</td>
<td>1.39±0.3</td>
<td>1.60±0.7</td>
<td>48±1.3</td>
<td>66±1.3</td>
</tr>
<tr>
<td>C₉</td>
<td>1.40±0.4</td>
<td>1.80±0.2</td>
<td>52±1.2</td>
<td>74±1.9</td>
</tr>
</tbody>
</table>

**Figure 2:** Comparative drug release profile for fasting states (2A) (●=F₂; ■ = C₆; ▲ = M₆); and fed state (2B) (▲ = FC₆; □ = FMO; ○ = F); and for fed state of ethyl cellulose-coated beads (▲ = C₃; □ = C₄)
The diameter of the beads increased significantly ($p < 0.05$) as polymer concentration increased; this could be attributed to the increase in the micro-viscosity of the polymeric dispersion, eventually leading to the formation of larger beads. Larger size beads were also formed as the concentration of calcium chloride increased. This may be due to excess calcium ions causing possibly all the crosslinking sites in the polymer to be fully utilized and resulting in larger but weaker and flexible gel beads.

Buoyancy is an important factor in sustained drug delivery to the gastric region. All the beads floated on simulated gastric fluid for up to 12 h. Increase in the calcium chloride content of the beads resulted in a decrease in floating lag time. Due to increase in the porosity of the gel beads. Floating lag time also rose as the concentration of oil in the formulation increased and this can be attributed to flocculation of the oil globules which might also have coalesced to produce large droplets.

The encapsulation efficiency of the beads rose as polymer concentration increased due to the availability of excess polymer which ensured that the drug was optimally entrapped. On the other hand, encapsulation efficiency decreased with increase in calcium chloride concentration because excess $\text{Ca}^{2+}$ would have the effect of weakening the polymer gel structure and strength, thus leaving it more porous and limiting its capacity to trap the drug.

Drug release from the beads (as shown in Fig 2A) was characterized by an initial phase of rapid release (‘burst effect’) due to the presence of clarithromycin on the bead surface since the drug exhibits good solubility at low pH [17]. However, release thereafter slowed down due to the obstruction of drug diffusion by pectin - $\text{Ca}^{2+}$ ions crosslinks. The release exponent (n) value of 0.045 suggests a diffusion-based release mechanism [18]. However, the dissolution profiles of the coated beads (batch $C_{62}$, $C_{62}$, $C_{63}$ and $C_{64}$) were best fitted to the zero-order kinetic model (Fig 2B). Formulation batch $C_{61}$ was considered the optimized gastroretentive controlled-release floating gel bead for clarithromycin, as it showed the lowest release.

**CONCLUSION**

The developed oil-entrapped gel beads showed good floating and controlled drug release properties at simulated acid pH conditions of the stomach. Therefore, it may be capable of delivering clarithromycin to stomach sites, thus opening up the possibility of targeting the drug to gastric sites for the treatment of microbial infections such as that caused by *H. pylori*.

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