

# A comparison of supervised, unsupervised and synthetic land use classification methods in the north of Iran

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**Abstract** Land use classification is often the first step in land use studies and thus forms the basis for many earth science studies. In this paper, we focus on low-cost techniques for combining Landsat images with geographic information system approaches to create a land use map. In the Golestan region of Iran, we show that traditional supervised and unsupervised methods do not result in sufficiently accurate land use maps. Therefore, we evaluated a synthetic approach combining supervised and unsupervised methods with decision rules based on easily accessible ancillary data. For accuracy assessment, confusion matrices and kappa coefficients were calculated for the maps created with the supervised, unsupervised and synthetic approaches. Overall accuracy of the synthetic approach was 98.2 %, which is over the 85 % level that is considered satisfactory for planning and management purposes. This shows that integration of remote sensing data, ancillary data and decision rules provides better classification accuracy than traditional methods, without significant additional use of resources.

**Keywords** Land use classification · ISODATA · Maximum likelihood · Synthetic method · Ancillary data · Iran

## Introduction

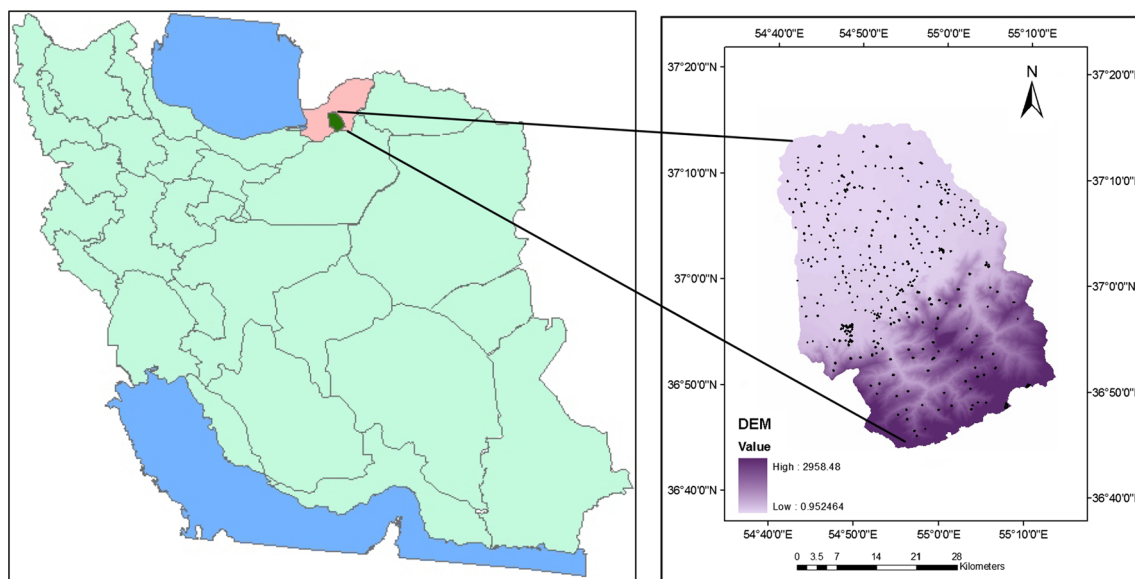
Up-to-date land use maps are very important to scientists, planners, resource managers and policy makers. Satellite images have been widely used over the past 20 years as a basis for such maps and to improve our understanding of land use and land cover changes (LUCC). Remote sensing technology is an effective tool for spatial data acquisition to maintain the sustainable management of natural resources and economical perspective (Cetin 2009). A range of satellites delivers images with varying spatial and temporal resolution. One of the best sources for land use studies is the Landsat series of satellites. Landsat data are useful for land surface mapping, change detection and monitoring (Cohen and Goward 2004; Alesheikh et al. 2007; Hansen et al. 2008; Masek et al. 2008; Cetin 2009). Landsat data are particularly applicable for land use classification on a regional scale because of their lower cost, longer history and higher frequency of archives than other remote sensing data sources (Rozenstein and Karnieli 2010). As a result, Landsat images have been successfully used to classify land use in a large variety of landscapes from homogeneous tropical landscapes to heterogeneous Mediterranean landscapes (Alrababah and Alhamad 2006; Koutsias and Karteris 2003; Manandhar et al. 2009; Schulz et al. 2010; Brandt et al. 2013; Sexton et al. 2013; Zegre et al. 2013). Landsat's spatial resolution is 30 m. The Landsat sensors include the Landsat 5 Thematic Mapper (TM), the Landsat 7 enhanced thematic mapper plus (ETM+) and the Landsat 1–5 multispectral scanners

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**Fig. 1** Location of the Baghsalian watershed in the north of Iran

(MSS) (Chen et al. 2011). At the time of writing, two Landsat sensors are operational: TM on board Landsat 5 and ETM+ on board Landsat 7.

Several methods are available to map land use using satellite images. The simplest method is visual interpretation. This method is suitable in some limited cases, but visual interpretation is limited to a single-band or a three-band (red–green–blue) color composite, which means that not all available information is used. Moreover, visual interpretation is subjective and manual digitization of land use polygons is labor intensive. Therefore, automatic methods of mapping are more popular, especially for large areas (Rozenstein and Karnieli 2010). Automatic methods are divided into supervised and unsupervised methods, according to the need for information from the map's producers. It has been shown that in geomorphologically homogenous areas either of the automatic (supervised or unsupervised) methods can be successfully used for mapping, but in heterogeneous regions, it has been suggested that it is better to use synthetic methods (Lillesand and Kiefer 2000). Synthetic methods use additional maps next to satellite images to separate land use types with the same reflectance. This integration of remotely sensed data with other data sources such as previously existing land use data, geology, transportation network or digital elevation models (DEMs, with possibly derivatives such as slope and curvature) can result in higher classification accuracy (Manandhar et al. 2009; Stefanov et al. 2001; Shalaby and Tateishi 2007). Synthetic methods can take the form of decision rules that use data sources in combination

(Lillesand and Kiefer 2000). The objective of this study was to use and evaluate supervised, unsupervised and synthetic methods for land use mapping with a case study in the Baghsalian watershed in Iran. The study was carried out in March to June 2012 with financial support of Tarbiat Modares University. The main land use types in this watershed are broadleaf forest, conifer forest, rangeland, agricultural land, water bodies and residential land. The Baghsalian watershed is subject to natural hazards such as floods and landslides, which makes land use mapping essential in terms of future planning (Mohammady et al. 2012).

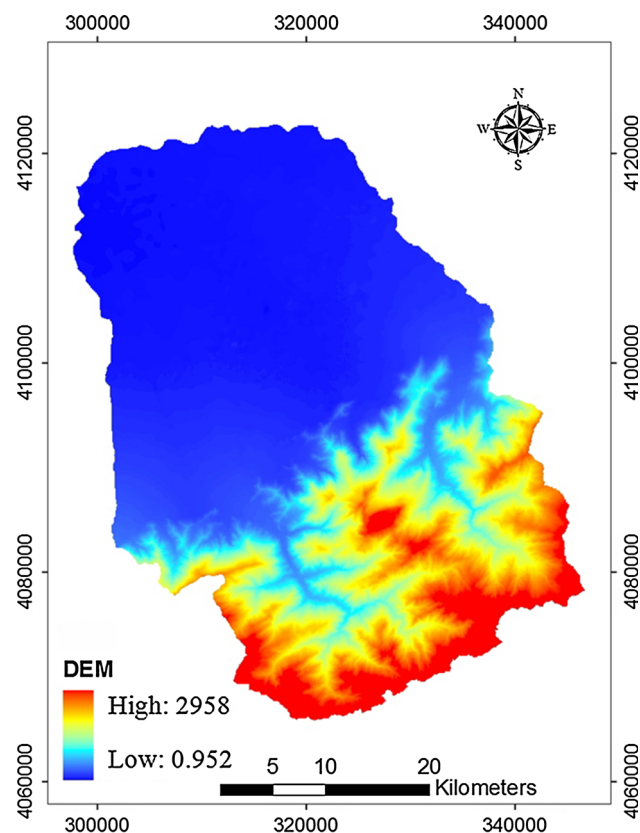
#### Study area

The Baghsalian watershed is in the north of Iran and covers approximately 1,800 km<sup>2</sup>. It lies between the latitudes 36° 43' 18" to 37° 14' 05" N and the longitudes 54° 43' 24" to 55° 16' 52" E in the southern part of the Golestan province, Iran (Fig. 1). The main cities in this watershed are Aliabad, Khan bebin, Daland and Ramian. Altitude ranges from 0 to 2,950 m above m.s.l. The study area is heterogeneous in terms of land use, terrain complexity and climatic regimes. In particular, the relations between reflectance and land use differ between the mountainous south and the flatter north of the watershed. Annual average temperature is 18 °C, and the total annual rainfall is about 600 mm, respectively. Rainfall varies between 390 mm in the south and 815 mm in the north of the watershed at Baghsalian and Zaringol meteorological stations, respectively (Golestan Regional Water Co 2007).



**Table 1** Data inventory

| Data | TM       | ETM+      | TM       | TM       | ETM+      | ETM+     | Topographical map |
|------|----------|-----------|----------|----------|-----------|----------|-------------------|
| Date | 2010.4.3 | 2010.5.29 | 2010.6.6 | 2010.8.9 | 2010.8.17 | 2010.9.2 | 1995              |

**Fig. 2** DEM map of the Baghsalian watershed

## Materials and methods

### Data collection and image preprocessing

Six TM and ETM+ images from 2010 were prepared for the study area (path 162, row 34 and 35, Table 1). These images are freely available from the United States Geological Survey (USGS). Because of the scan-line corrector (SLC) failure for the ETM+ sensor on board Landsat 7, some pixels of each ETM+ image were missing (Abd el-kawy et al. 2011). We applied a gap-fill algorithm to correct these images (Mohammady et al. 2013). Preprocessing of satellite images prior to land use classification is essential and has the unique goal of establishing a stronger linkage between the data and biophysical phenomena (Abd el-kawy et al. 2011). Satellite images were therefore geometrically corrected to the UTM projection (zone 40 north and with datum WGS 1984). Further preprocessing of the

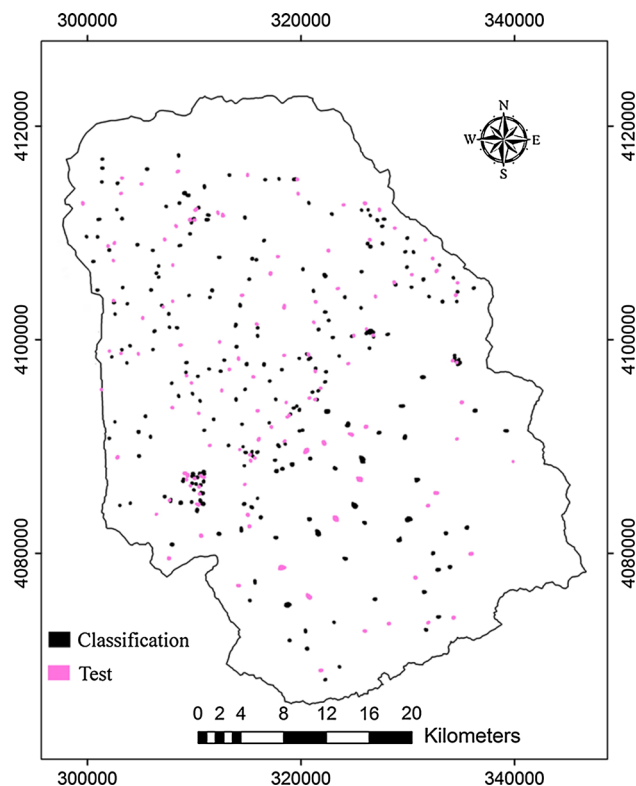
**Fig. 3** Spatially distributed map of training samples

image included one-step radiometric and atmospheric corrections using the dark-object subtraction method (Rosenstein and Karnieli 2010). Additionally, a detailed 10 m contour map of the area at 1:25,000 scale was digitized. A DEM was prepared from this contour map (Fig. 2), and slope was derived from the DEM using ArcGIS 9.3 software. A Normalized Difference Vegetation Index (NDVI) was calculated from each satellite image. NDVI represents a measure of canopy greenness, and dense green vegetation canopies are generally greater than 0.6 (Wang et al. 2005; Maxwell and Sylvester 2012). An annual maximum NDVI image ( $NDVI_{ann-max}$ ) was generated for each year by taking the maximum NDVI value that occurred in the time series (Maxwell and Sylvester 2012).

Finally, 370 spectral signatures were collected from all land use types by field survey (GPS) where possible and by Google Earth in the more mountainous regions where forest is quite clearly distinguished on Google Earth (Chen et al. 2011; Brandt et al. 2013). It was attempted to divide the sample locations evenly over the research





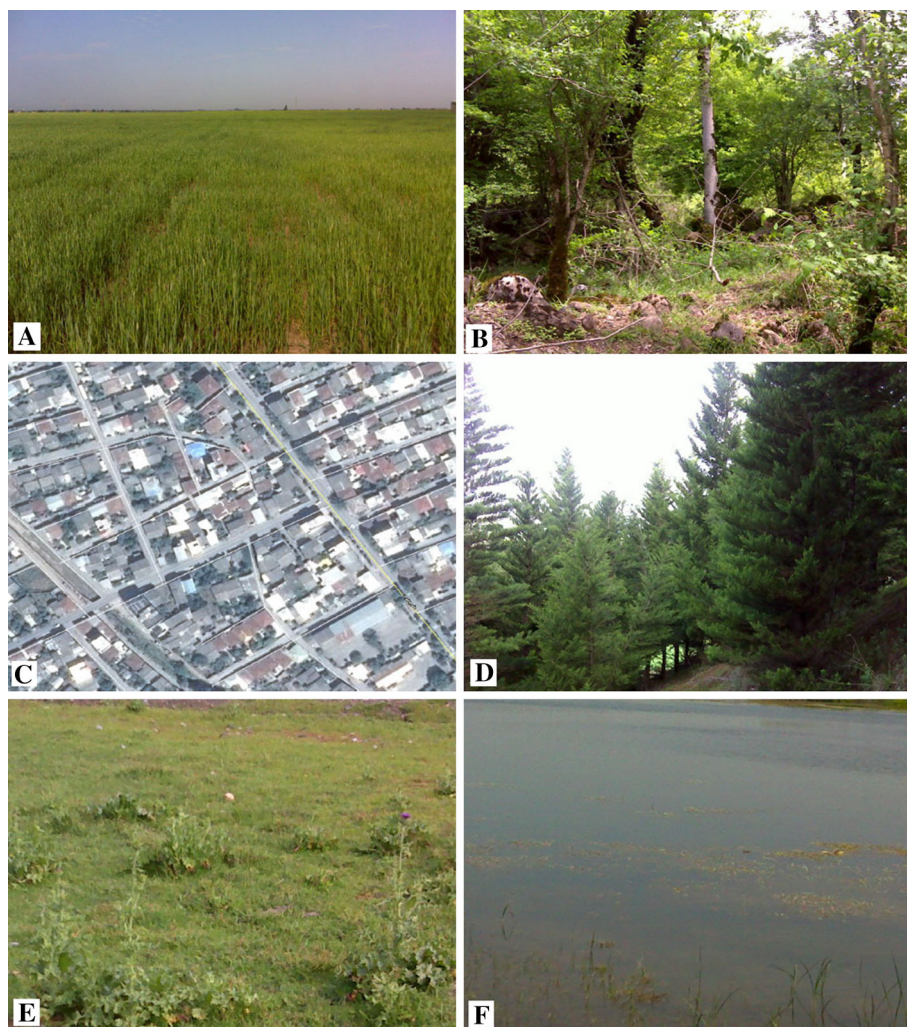
area (Fig. 3). These signatures located in the whole area with different slope and altitude. Minimum and maximum areas of signatures for classification were 3,857 and 47,250 m<sup>2</sup>, respectively. For signatures of test, these values were 4,072 and 38,121 m<sup>2</sup>. Slope of signatures was about 0 to 80 %, and the range of signature altitude was 0 to 2,700 m. Figure 4 shows land use types in the study area including agriculture (A), broadleaf forest (B), residential area (C), conifer (D), rangeland (E) and water body (F). In the modeling process, usually 2/3 of data are used for modeling and the rest will be used for model test. We used 250 signatures for supervised classification and 120 signatures for accuracy assessment (Fig. 3). Table 2 shows the number of training samples per land use type. The number of signatures is dependent on difference of spectral characteristic and area of each land use types. In the homogeneous land use type, we decreased number of signatures and increased area of each signatures. For example, broadleaf forest covers

about 35 % of the study area, but the number of signatures is lower than residential area that covers about 2 %, because of difference of spectral characteristics of residential area compare to forest. Of course, area of signatures in the broadleaf forest is more than residential area.

### Classification

Remote sensing image classification methods can be divided into unsupervised and supervised classification methods. For unsupervised classification, there is no need to have a prior understanding of the study area. Therefore, this method is time and cost efficient. The best-known variant of unsupervised classification is ISODATA, which groups pixels with similar spatial and spectral characteristics into classes (Bakr et al. 2010). However, for practical application, the quality of this classification is often not enough. Supervised classification methods therefore use

**Fig. 4** Land use types in the study area



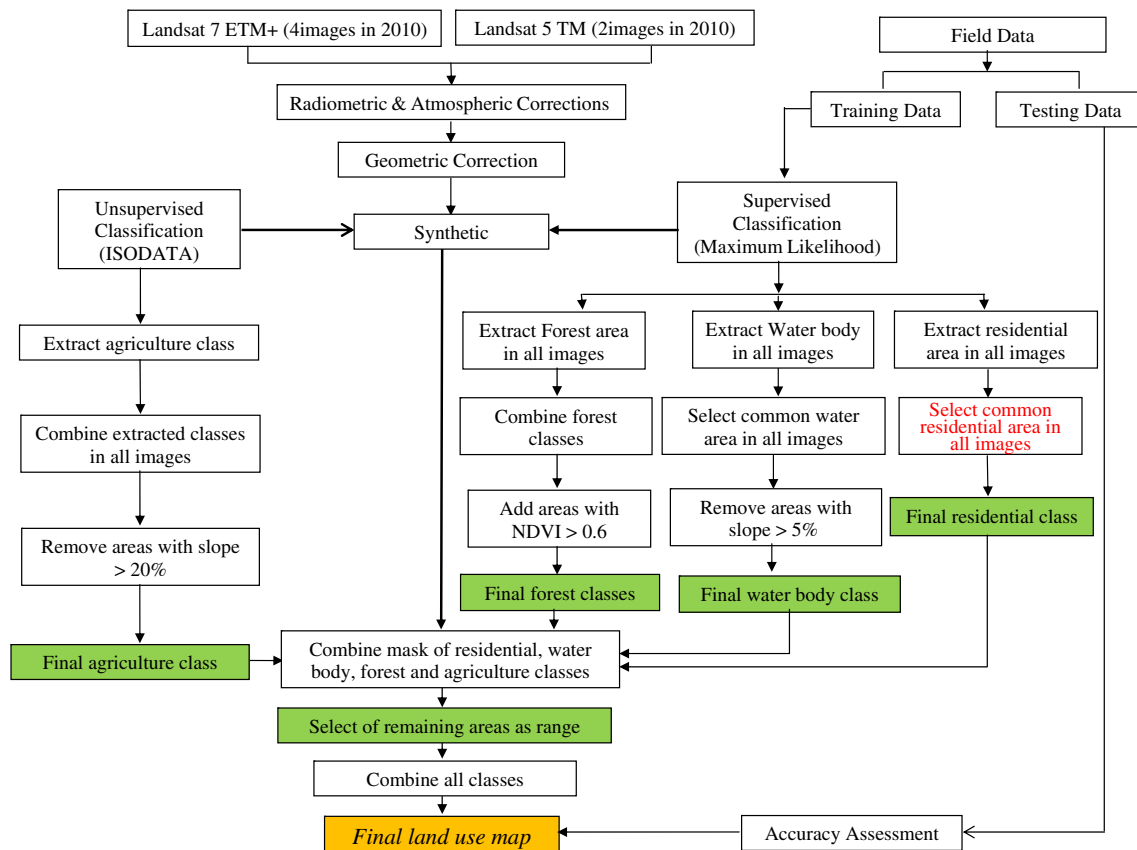
**Table 2** Land use types of training samples

| Land use types   | Supervised classification | Accuracy assessment |
|------------------|---------------------------|---------------------|
| Agriculture      | 91                        | 44                  |
| Broadleaf forest | 50                        | 22                  |
| Conifer forest   | 15                        | 8                   |
| Rangeland        | 18                        | 10                  |
| Residential area | 62                        | 30                  |
| Water body       | 14                        | 6                   |

prior knowledge, which often increases the classification accuracy (Yiqiang et al. 2010).

In the study area, cropping type changes seasonally and a close mix of agriculture with rangeland exists. It is therefore difficult to extract a land use map using only one satellite image. To attempt to solve this problem, we used a synthetic method where satellite imagery from multiple seasons is combined with ancillary data (Brandt et al. 2013). It was hoped that the added temporal information extractable from the multi-date imagery, and the decision rules governing the impact of the ancillary data resulted in a more correct land use classification.

The six TM and ETM+ images were first classified using both supervised (maximum likelihood) and unsupervised (ISODATA) methods with ENVI 4.8 software. Then, in the synthetic method, broadleaf forest, conifer forest, water bodies and residential areas were first derived from supervised classification. The spectral reflectance of these four land use types is relatively constant throughout a year, so that training signatures were a suitable basis for classification. Second, agricultural land use for each image was derived from unsupervised classification. There are several agriculture types in the Baghsalian watershed that have different reflectance, leading to difficulties with the traditional supervised classification. In addition, agriculture pixels significantly change their spectral signature between growth and fallow seasons. Therefore, we cannot use supervised classification to classify agriculture. We used spectral reflection and regular shape of agriculture (unsupervised classification) to extract this class. All agricultural land use types were then combined into one agricultural land use class. The remaining unclassified land was considered rangeland. For all land use types, expert knowledge of the area was then used in decision rules based on slope, altitude and NDVI maps to remove obvious classification errors.

**Fig. 5** Synthetic classification flowchart of Baghsalian watershed

Finally, the separate set of 120 field observations that was not used in supervised classification was used to test the accuracy of the final (supervised, unsupervised and synthetic) land use maps.

Figure 5 shows a flowchart of the synthetic classification method and the important intermediate results.

#### Agriculture class

The reflective bands (1–5 and 7) of the images were used to classify agriculture classes with the unsupervised ISODATA classification technique (Bakr et al. 2010).

This method is particularly suited for the classification of agricultural land use, where fields with regular shape and green colors must be defined. The ISODATA output map, which has unique values for every regularly or irregularly shaped area, was compared with a false color composite where green areas show up in red. Regularly shaped areas in the ISODATA map that had high greenness according to the false color composite were considered agriculture. As an illustration of this, Fig. 6 shows an example false color composite and ISODATA map of the same date (2010.8.9).

This procedure was repeated with satellite images of different seasons to capture fields that were cropped in different seasons. There are six unsupervised maps and six agriculture maps extracted from unsupervised maps with a large number common in the total maps. Combining these

maps adds pixels to final class of agriculture that are not common in the maps, so no miss any pixel of agriculture.

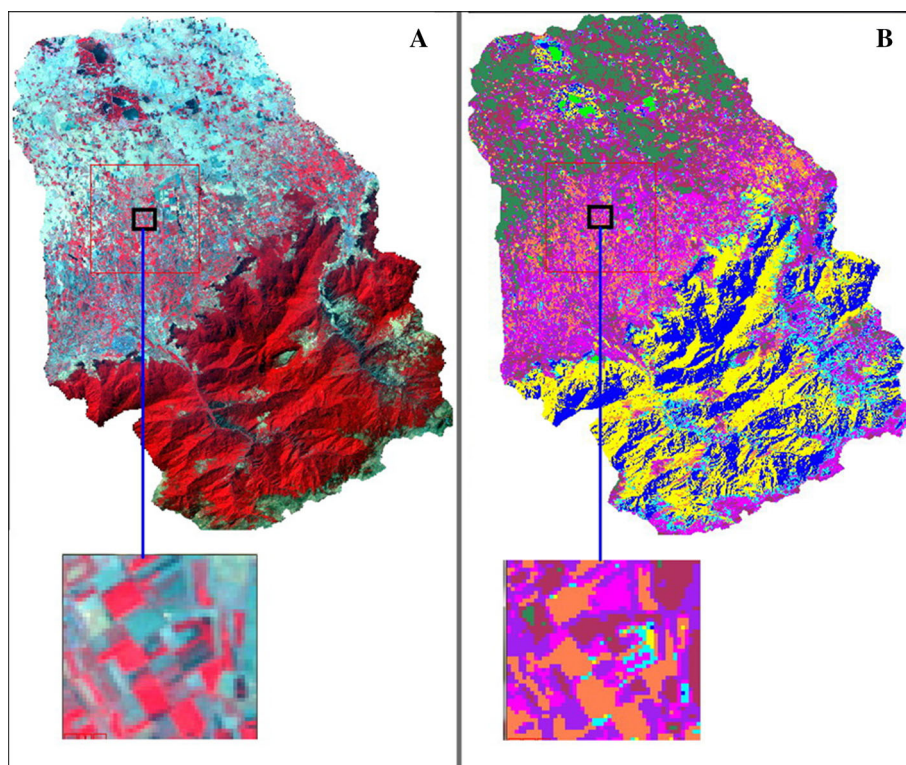
#### Forest, residential and water body classes

Maximum likelihood classification (MLC) was used for supervised classification (Bakr et al. 2010; Otukey and Blaschke 2010; Petropoulos et al. 2012; Rojas et al. 2013). MLC assumes that the statistics for each class in each band are normally distributed and then calculates the probability that a given pixel belongs to a specific class. MLC defines the means and variances of the classes from training samples, and then, those are used to compute the probabilities of belonging to a certain class for every pixel in the satellite image (Petropoulos et al. 2012). Using MLC, broadleaf forest, conifer forest, water bodies and residential areas were derived from images. This again resulted in six output maps per land use that have a large number of cells in common. Maps were combined in the same procedure as mentioned for agriculture. Finally, all extracted classes from the supervised and unsupervised methods were combined in ENVI software and the unclassified land was considered rangelands.

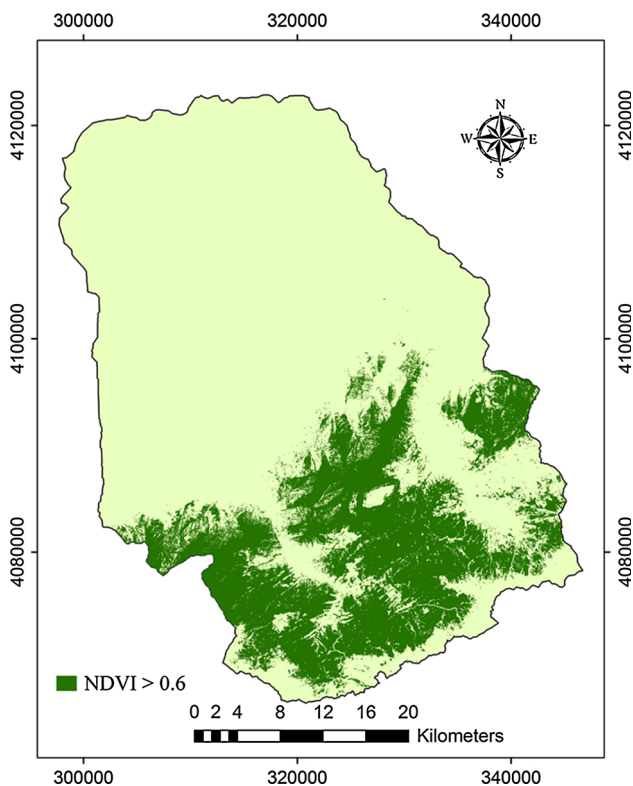
#### Using ancillary map

Ancillary maps were used to improve the accuracy of the land use map based on expert knowledge. Such expert

**Fig. 6** False color composite (a) and ISODATA map (b) of Baghsalian watershed



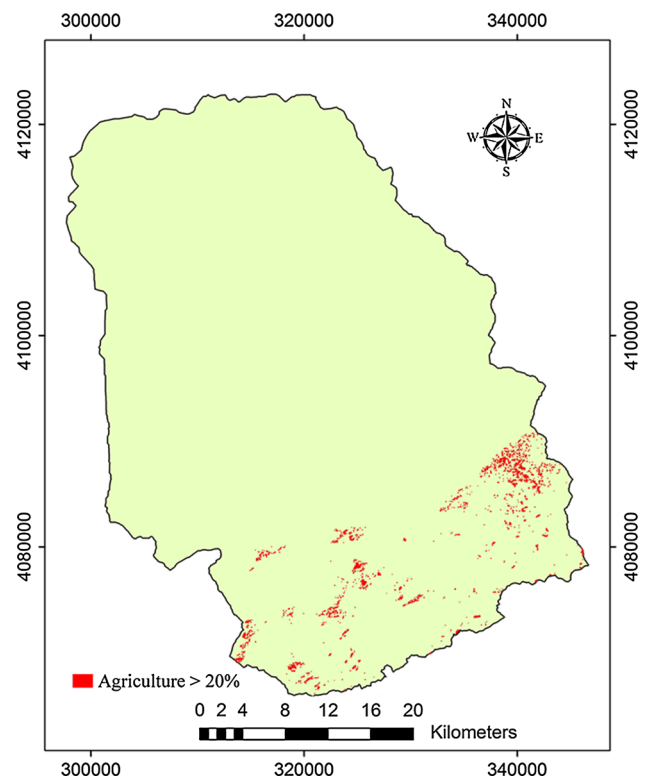




**Fig. 7** NDVI map with value  $>0.6$  in Baghsalian watershed

knowledge, summarized in decision support systems (DSS), can provide better classification accuracies than any of the individual data sources used alone (Rozenstein and Karnieli 2010). Ancillary maps of slope gradient, altitude and NDVI were used. Rules were then defined according to our knowledge of land use in the study area. To improve the classification of water bodies, cells with a slope more than 5 % were removed from the areas that were initially classified as water, arguing that water should be in flat terrain. To improve the classification of both forest classes (broadleaf and conifer forest), the NDVI map was used (Fig. 7). Areas classified as non-forest with NDVI higher than 0.6 were considered forest and were added to the previous map of forest class because it has been observed that only forest has NDVI values over 0.6 (Wang et al. 2005; Maxwell and Sylvester 2012). Finally, this area with NDVI over 0.6 was classified as either broadleaf or conifer forest based on its spectral signature.

In addition, cells above 2,800 m were removed from the areas that were initially classified as forest, because 2,800 m is the tree line in the north of Iran. A visual check of the results of this procedure with aerial photographs indicated that this part of the procedure was reasonable. Finally, to improve the classification of agriculture, cells with a slope more than 20 % were removed from the areas originally classified as agriculture (Fig. 8), using our expert



**Fig. 8** Agriculture classified in the slope  $>20\%$  in Baghsalian watershed

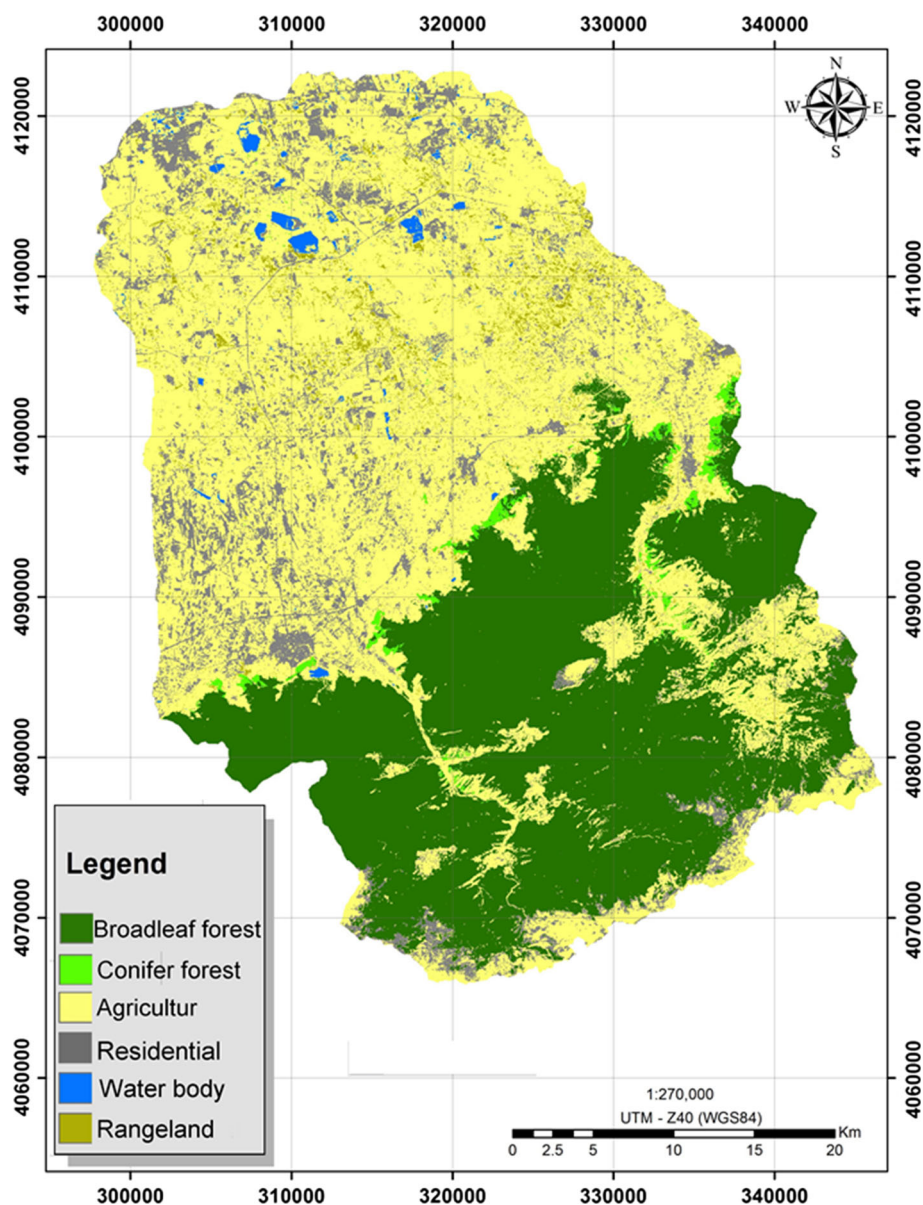
knowledge that such steep areas are not cropped. All areas that were forest, residential land or water bodies were considered not agricultural land to solve overlap problems between the supervised and unsupervised classifications. Finally, the remaining unclassified areas were considered rangeland.

#### Accuracy assessment

One hundred and twenty signatures were not used for classification and considered for accuracy assessment. These training samples were selected randomly from the full set of 370 signatures. For accuracy assessment, a confusion matrix was calculated (Brandt et al. 2013). From this matrix, two factors can be calculated: the overall classification accuracy and the kappa coefficient. The overall classification accuracy indicates the percentage of cells that were correctly classified. The kappa coefficient is a measure for correspondence corrected for correspondence by chance and was recommended as a standard by Rosenfield and Fitzpatrick-lins (1986). This standard has been widely adopted (Hudson and Ramm 1987; Lillesand and Kiefer 2000; Foody 2002; Bakr et al. 2010; Rozenstein and Karnieli 2010; Abd el-kawy et al. 2011; Rojas et al. 2013; Schmitt-harsh 2013). The USGS proposed a kappa



**Fig. 9** Maximum likelihood classification map of Baghsalian watershed



coefficient of 85 % as the minimum requirement for land use/cover mapping with Landsat data (Anderson et al. 1976).

## Results and discussion

A total of 13 land use maps were extracted: 6 unsupervised and 6 supervised maps from each of the individual satellite images and 1 synthetic map combining information from the satellite images. Figure 9 shows an example of a supervised classification result. For all maps, the accuracy was calculated. Table 3 shows these results.

Overall indicates the value for the overall classification accuracy, and kappa indicates the value for the kappa index.

It is clear that results for either the unsupervised or supervised methods are unsatisfactory and that the synthetic map result is satisfactory. Figure 10 shows the land use map resulting after applying the unsupervised, supervised methods and the decision support system (synthetic method).

As shown in Fig. 10, most of the agricultural area is located in the plains in the north of the area and the forest classes and rangeland are mostly in the highlands. Table 4 shows the area of the six land use classes in the synthetic map. The largest class is agriculture, and the smallest class is water bodies.

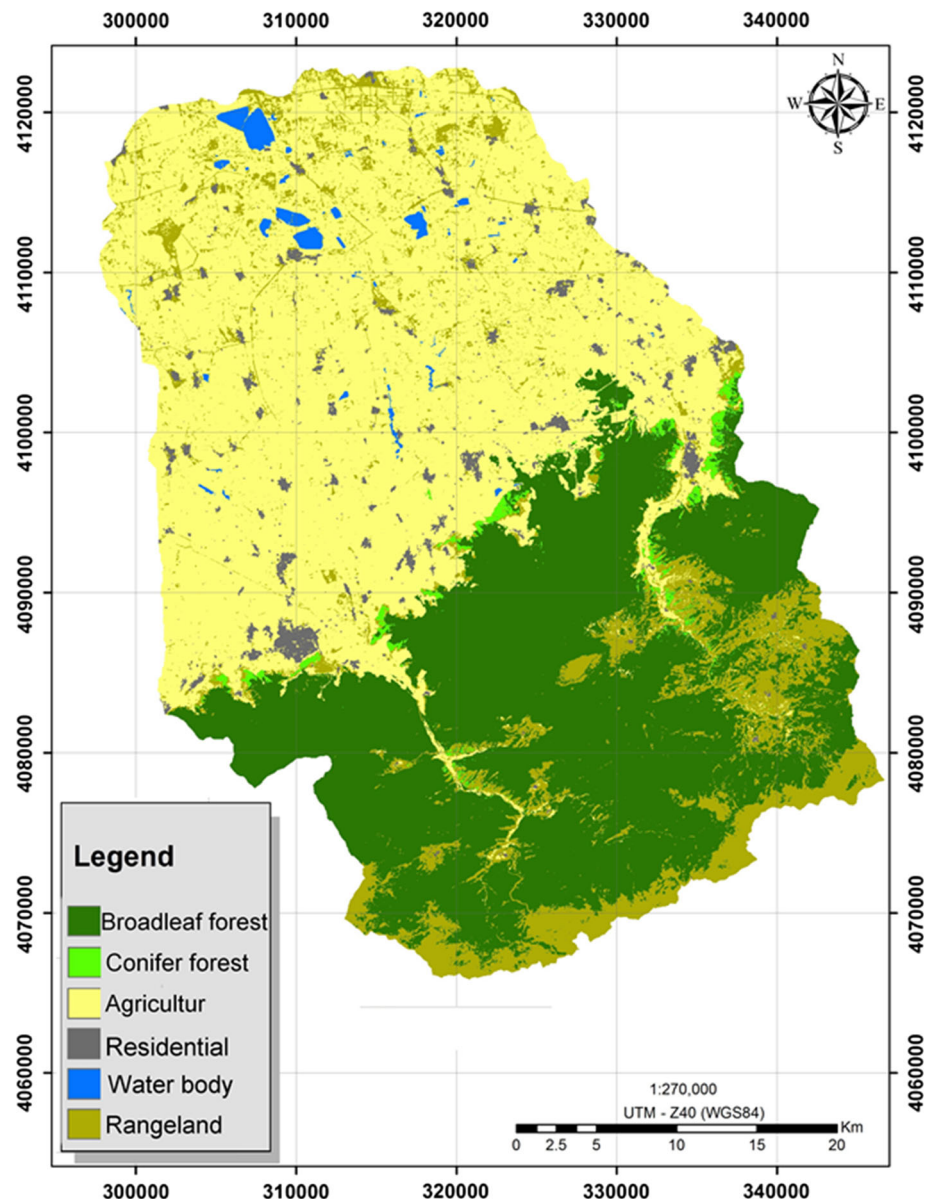
We applied supervised, unsupervised and synthetic land use classification methods to classify a land use map. The synthetic method that combined maximum likelihood





**Table 3** The accuracy assessment of the 13 calculated land use maps

| Image | Date      | Unsupervised |       | Supervised  |       | Synthetic   |       |
|-------|-----------|--------------|-------|-------------|-------|-------------|-------|
|       |           | Overall (%)  | Kappa | Overall (%) | Kappa | Overall (%) | Kappa |
| TM    | 2010.4.3  | 75.6         | 0.69  | 83.6        | 0.80  | 98.2        | 0.98  |
| ETM+  | 2010.5.29 | 78.2         | 0.74  | 84.2        | 0.81  |             |       |
| TM    | 2010.6.6  | 71.8         | 0.68  | 84.8        | 0.82  |             |       |
| TM    | 2010.8.9  | 68.8         | 0.59  | 81.84       | 0.77  |             |       |
| ETM+  | 2010.8.17 | 60.2         | 0.61  | 81.17       | 0.77  |             |       |
| ETM+  | 2010.9.2  | 58.7         | 0.57  | 82.7        | 0.79  |             |       |

**Fig. 10** Land use map of Baghsalian watershed prepared with the synthetic method

(supervised) classification, ISODATA (unsupervised) classification and an expert knowledge-based decision support system provided a better accuracy than the

supervised and unsupervised methods without expert knowledge. The decision support system combined multiple data sources.



**Table 4** Area of land use classes

| Land use class    | Area (km <sup>2</sup> ) | Percent |
|-------------------|-------------------------|---------|
| Agriculture       | 882.197                 | 48.23   |
| Broad leaf forest | 643.045                 | 35.16   |
| Range             | 231.874                 | 12.68   |
| Residential area  | 39.226                  | 2.14    |
| Conifer forest    | 17.403                  | 0.95    |
| Water body        | 15.348                  | 0.84    |

The overall accuracy and kappa coefficient of the synthetic method are both about 98 %, which are unusually high values. The kappa coefficient for agriculture, broadleaf forest, conifer, residential area, rangeland and water bodies is 96.6, 98.9, 97.4, 99.4, 96.8 and 99.3, respectively. As mentioned in the previous section, there is a strong mix of agriculture and range classes in the studied watershed. About 60 % of the watershed is covered with these two land use type, and it is therefore essential to correctly separate these classes. Despite including many training samples of crops in different phenological stages, there was still confusion between agricultural land and rangelands using the supervised method (maximum likelihood classification). The basis of supervised classification is the similarity of spectral reflection. Agriculture in the study area is very diverse, and different plants are cultivated. In some areas, there are green plants and plowed fields together, while both of them are agriculture but have different spectral reflection. Therefore in the heterogeneous area, collection of training samples is challenging, and therefore, an unsupervised approach (ISODATA) using several images at the same year is more suitable (Rozenstein and Karnieli 2010). Multiple images in each year allow capturing vegetation peaks in all fields. This technique was previously used by other researchers (Turner and Congalton 1998; Wolter et al. 1995; Prishchepov et al. 2012; Brandt et al. 2013). Forest, residential and water body classes were derived using supervised (maximum likelihood) method. Spectral characteristics of these classes do not change in different season and training samples are useful for classification. Ancillary data such as slope gradient, altitude and NDVI maps were used through a DSS to update the classification. The application of ancillary geographic data within an expert system classification approach based on logical decision rules (Stefanov et al. 2001) has been suggested as a method to yield a more accurate alternative for the classification of landscape features. This has been confirmed in this study: The overall accuracy and kappa coefficient of the land use map produced with the synthetic map are 98.2 % and 0.975, respectively. The 98.2 % accuracy is significantly higher than the 85 % that

has been set as satisfactory for planning and management purposes (Anderson et al. 1976). This contrasts with a value lower than 85 % in several other reported land use classification studies (Foody 2002; Wilkinson 2005). For example, Cingolani et al. (2004) showed overall accuracy and kappa for maximum likelihood 78 % and 0.74, respectively. In the study of Rozenstein and Karnieli (2010), overall accuracy and kappa coefficient were 70.67 % and 0.65 for ISODATA, 60.53 % and 0.53 for maximum likelihood, 73.50 and 0.68 for synthetic method. Overall accuracy and kappa coefficient in the study of Sun et al. (2011) were 84.89 % and 0.82 for maximum likelihood model. Huang et al. (2010) obtained 85 % and 0.76 for overall accuracy and kappa coefficient. The results of Liu et al. (2011) showed 80 % and 0.7 for overall accuracy and kappa coefficient in the maximum likelihood method. Finally, Rojas et al. 2013 were used maximum likelihood to classify land use map with overall accuracy and kappa coefficient equal to 75 % and 0.78, respectively; meanwhile, agriculture has minimum accuracy (64 %).

However, other studies have found better results, for example Otukei and Blaschke (2010) applied decision tree, maximum likelihood and support vector machines for classification. Their best method was a decision tree with 94 % and 0.93 for overall accuracy and kappa coefficient. Abd el-kawy et al. (2011) combined maximum likelihood and visual interpretation to improve land use classification. The overall accuracy and kappa coefficient were 95 % and 0.92, respectively, that shows visual interpretation map can be useful in land use mapping. In the research of Petropoulos et al. (2012), support vector machines showed 94.93 % and 0.937 in the overall accuracy and kappa coefficient. Our results for the synthetic method showed an overall accuracy and kappa coefficient that are higher than in all these other studies. Therefore, it is safe to conclude that the synthetic method has enough accuracy for land use mapping in our study area.

In this respect, it must be noted though that some of our decision rules must be changed if the approach is to be used in other areas. For example, in the Baghsalian watershed, there is no agriculture on slopes over 20 % or at elevations over 2,800 m. These rules are likely to differ between areas. Some of our rules are more constant across regions, for example the rule that only forest can have NDVI over 0.6. Within Iran, many northern regions are similar to the Baghsalian watershed in terms of land use and topography. The method that we used here may well be useful for these areas as well and the authors hope to apply it there in the near future. In addition, the synthetic method used in this study can easily be applied to earlier years to obtain a multi-temporal set of land use maps.



## Conclusion

There is multi-temporal agriculture in the case study, and there are spectral mixes between agriculture and other land use types. As a result, traditional methods did not have enough accuracy for land use classification in this region. A synthetic method combining supervised and unsupervised classification with a decision support system designed for this study delivers very high classification accuracy and is therefore appropriate to classify land use in our study area.

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